

State of Idaho
Weatherization Assistance Program
Program Year 2016 Grant Application
Annual File- Draft

Application for Federal Assistance SF-424

Version 02

*1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

*2. Type of Application

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s) AC Increase Award, Increase Duration

*Other (Specify) _____

*3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

*5b. Federal Award Identifier: DE-EE0006150

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: Idaho Department of Health and Welfare

*b. Employer/Taxpayer Identification Number (EIN/TIN): 826000995

*c. Organizational DUNS: 825201486

d. Address:

*Street 1: 450 W. State St. - 2nd Floor

Street 2: _____

*City: Boise

County: Ada

*State: Idaho

Province: _____

*Country: USA

*Zip / Postal Code 83702

e. Organizational Unit:

Division Name: Division of Welfare

Department Name: Dept. of Health and Welfare

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.

*First Name: Kristin

Middle Name: _____

*Last Name: <u>Matthews</u>
Suffix: _____
Title: Program Manager
Organizational Affiliation: Division of Welfare
*Telephone Number: 208-334-5553 Fax Number: 208-334-5817
*Email: matthek1@dhw.idaho.gov
9. Type of Applicant 1: Select Applicant Type: A.State Government Type of Applicant 2: Select Applicant Type: Type of Applicant 3: Select Applicant Type: *Other (Specify)
*10 Name of Federal Agency: U.S. Department of Energy
11. Catalog of Federal Domestic Assistance Number: 81.042 CFDA Title: <u>Weatherization Assistance Program</u>
12. Funding Opportunity Number: DE-WAP-0002016 Title: 2016 Weatherization Assistance for Low Income Persons
13. Competition Identification Number: _____ Title: _____
14. Areas Affected by Project (Cities, Counties, States, etc.): State of Idaho
15. Descriptive Title of Applicant's Project: The Weatherization Assistance Program enables low-income families to permanently reduce their energy bills by making their homes more energy efficient. Since the program began, the U.S. Department of Energy's (DOE) Weatherization Assistance Program has provided weatherization services to more than 7 million low-income families.
16. Congressional Districts Of: *a. Applicant: ID-01 *b. Program/Project: Idaho Statewide Attach an additional list of Program/Project Congressional Districts if needed.
17. Proposed Project: *a. Start Date: April 1, 2016 *b. End Date: March 31, 2017

*Title: Director	
*Telephone Number: 208-334-0679	Fax Number: 208-334-5694
* Email: osbornj@dhw.idaho.gov	
*Signature of Authorized Representative:	*Date Signed:
*Applicant Federal Debt Delinquency Explanation	
The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.	

**U.S. Department of Energy
WEATHERIZATION ANNUAL FILE WORKSHEET**

Grant: DE-EE0006150

State: IDAHO

Program Year: 2016

IV.1. Subgrantees (Direct Service Providers)

Grantee	City	Funding	Units
		Canyon County Organization on Aging, Inc.	Caldwell
Community Action Agency, Inc.	Lewiston	\$351,964	38
Eastern Idaho Community Action Partnership, Inc.	Idaho Falls	\$251,535	43
El-Ada, Inc.	Boise	\$352,925	125
South Central Community Action Partnership	Twin Falls	\$212,236	32
SouthEastern Idaho Community Action Agency, Inc.	Pocatello	\$195,467	22
Totals		\$1,701,205	300

IV.2. WAP Production Schedule

Number of Units (Excluding reweatherized)	300
Reweatherized Units	0
Average Unit Costs, including Reweatherization, Subject to DOE Program Rules*	
A Total Vehicles & Equipment (\$5,000 or more) Budget	\$0.00
B Total Units Weatherized	300
C Units Reweatherized	0
D Total Dwelling Units to be Weatherized and Reweatherized (B+C)	300
E Average Vehicle & Equipment Acquisition Cost per Unit (A divided by D)	\$0.00
AVERAGE COST PER DWELLING UNIT (DOE RULES*)	
F Total Funds for Program Operations**	\$1,100,538
G Total Dwelling Units to be Weatherized and Reweatherized (from line D)	300
H Average Program Operations Cost per Unit (F divided by G)	\$3,668
I Average Vehicle & Equipment Acquisition Cost per Unit (from line E)	N/A
J Total Average Cost per Dwelling (H plus I)	\$3,668

**U.S. Department of Energy
WEATHERIZATION ANNUAL FILE WORKSHEET**

Grant: DE-EE0006150 State: IDAHO Program Year: 2016

IV.3. Energy Savings

Method used to calculate energy savings: X WAP algorithm: Other (describe below):

Idaho uses the WAP algorithm. The formula is the number of units times 29.3:
300 units X 29.3 MBTU = 8,790 MBtus

Estimated energy savings: 8,790 (MBtus)

Estimated prior year savings: 9,425 MBtus Actual: 13,294 MBtus

If variance is large, please explain:

Idaho uses the WAP algorithm to calculate estimated energy savings. The actual energy savings is based on an increased number of houses weatherized and the actual energy savings noted in the statewide data tracking system.

IV.4. DOE-Funded Leveraging Activities

Leveraging funds will be used to support activities that will leverage additional community assistance, including creation and development of new partnerships. Current leveraging partners include a pooled group of small non-regulated electric utility companies and the local USDA Rural Development offices. Historically, Idaho has utilized leveraging funds from partners, including regulated utilities, in order to enhance weatherization services and/or to produce additional units. The estimated number of leveraged units to be produced during PY16 is 315 based on PY14 completion of leveraged units.

IV.5. Policy Advisory Committee Members (names, groups, agencies)

PAC Name, Group, or Agency	Contact name, phone, e-mail
AARP	Lynn Young, (208)288-2828, lynnyoung@spro.net
Avista Utilities	Renee Coelho, (509) 495-8607 renee.coelho@avistacorp.com
Bonneville Power Administration	John Williams, (208)338-3017, jjwilliams@bpa.gov
CCOA Aging, Weatherization, and Human Services	Carol Teats, (208)459-0063, carol@ccoaidaho.org
Community Council of Idaho	Irma Morin, (208)454-1652, imorin@ccimail.org
Idaho Department of Health and Welfare State Tribal Relations	Joyce Broadsword, (208)665-8828, BroadswordJ@dhw.idaho.gov
Idaho Governor's Office of Energy Resources	Jennifer Pope, (208)332-1677, jennifer.pope@oer.idaho.gov
Idaho Power Company	Cheryl Paoli, (208)388-2679, cpaoli@idahopower.com
Idaho Public Utilities Commission	Nancy Hylton, (208)334-0304, nancy.hylton@puc.idaho.gov

Intermountain Gas Company	Byron Defenbach, (208)377-6080, Byron.defenbach@intgas.com
Living Independence Network Corporation	Amber Mausling, (208)336-3335, amausling@lincidaho.org
Rocky Mountain Power	Becky Eberle, (503)813-5154, becky.eberle@pacificorp.com
South Central Community Action Partnership	Ken Robinette, (208)733-9351, ken@sccap-id.org

See Attachment 8 for the WX PAC meeting summary.

IV.6. State Plan Hearings (send notes, minutes, or transcript to the Regional Office)

Hearing Date:	Newspapers that publicized the hearings and the dates that the notice ran.
February 8, 2016	Coeur D'Alene Press January 21, 26 and 28, 2016 Idaho Press Tribune- January 21, 22 and 23, 2016 Idaho State Journal - January 22, 27 and 29, 2016 Idaho Statesman- January 21, 25 and 29, 2016 Lewiston Tribune- January 21, 22 and 23, 2016 Idaho Falls Post Register - January 21, 22 and 23, 2016 Magic Valley Times-News - January 21, 22 and 23, 2016
<p>If desired, hearing notes or transcripts may be cut and pasted into this space. See Attachment 9 for the PY16 DOE Public Hearing Notice. See Attachment 10 for the Proof of notice publication. See Attachment 11 for the Public Hearing Sign in Sheet. See Attachment 12 for the Public Hearing Transcript</p>	

IV.7. Miscellaneous

<p>Recipient Business Officer and Recipient Principle Investigator Recipient Business Officer: Kristin Matthews, 208-334-5553 mattek1@dhw.idaho.gov Recipient Principle Investigator: Kristin Matthews, 208-334-5553 mattek1@dhw.idaho.gov</p>

**U.S. Department of Energy
WEATHERIZATION ASSISTANCE PROGRAM
SUBGRANTEE (Direct Service Provider) INFORMATION**

Grant: DE-EE0006150 State: IDAHO Program Year: 2016

Name: Canyon County Organization on Aging

Contact: Tyson Willden, Weatherization Director

DUNS: 099324907

Address 1: 1614 Industrial Way, Suite 102

City: Caldwell State: ID

Zip Code: 83605

Phone: (208) 459-0065

Fax: (208) 455-2342

Email: twillden@ccoaidaho.org

Tentative allocation:	\$337,078	Counties served: Adams, Valley, Washington, Payette, Boise, Gem, Canyon	Congressional Districts served: ID-01
Planned units:	40		
Type of organization:	Non-profit organization		
Source of Labor:	Direct Service Provider and Contractors		

Name: Community Action Partnership, Inc.

Contact: Mark Fleming, Housing Programs Director

DUNS: 21818786

Address 1: 124 New 6th St

City: Lewiston State: ID

Zip Code: 83501

Phone: (208) 746-3351

Fax: (208) 746-5456

Email: m.fleming@cap4action.org

Tentative allocation:	351,964	Counties served: Boundary, Clearwater, Bonner, Nez Perce, Kootenai, Lewis, Benewah, Idaho, Shoshone, Latah	Congressional Districts served: ID-01
Planned units:	38		
Type of organization:	Non-profit organization		
Source of Labor:	Direct Service Provider and Contractors		

Name: Eastern Idaho Community Action Partnership, Inc.

Contact: Brad Simmons, Weatherization Director

DUNS: 092026863

Address: 935 E. Lincoln Road

City: Idaho Falls State: ID

Zip Code: 83401

Phone: (208) 522-5391

Fax: (208) 523-7878

Email: bsimmons@eicap.org

Tentative allocation:	\$251,535	Counties served:	Congressional Districts served:
Planned units:	43	Lemhi, Custer, Butte, Clark, Jefferson, Madison, Teton, Fremont, Bonneville	ID-02
Type of organization:	Non-profit organization		
Source of Labor:	Direct Service Provider and Contractor		

Name: El-Ada, Inc.
Contact: Kevin Viggers, Weatherization Director
DUNS: 098803364
Address 1: 701 E. 44th #1
City: Boise State: ID Zip Code: 83714
Phone: (208) 377-0700
Fax: (208) 377-0859
Email: kviggers@eladacap.org

Tentative allocation:	\$352,925	Counties served:	Congressional Districts served:
Planned units:	125	Ada, Elmore, Owyhee	ID-01
Type of organization:	Non-profit organization		ID-02
Source of Labor:	Direct Service Provider and Contractor		

Name: South Central Community Action Partnership
Contact: Ed Campos, Interim Weatherization Director
DUNS: 70023569
Address 1: P.O. Box 531
City: Twin Falls State: ID Zip Code: 83303-0531
Phone: (208) 733-9351
Fax: (208) 733-9355
Email: ed@sccap-id.org

Tentative allocation:	\$212,236	Counties served:	Congressional Districts served:
Planned units:	32	Camas, Blaine, Gooding, Jerome, Lincoln, Minidoka, Cassia, Twin Falls	ID-02
Type of organization:	Non-profit organization		

Source of Labor:	Direct Service Provider and contractors		
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Name: SouthEastern Idaho Community Action Agency, Inc.

Contact: Rick Burgin, Weatherization Director

DUNS: 073077356

Address: 1261 Wilson Suite E

City: Pocatello State: ID Zip Code: 83201

Phone: (208) 237-0991

Fax: (208) 237-3951

Email: rburgin@seicca.org

Tentative allocation:	\$195,467	Counties served:	Congressional Districts served:
Planned units:	22	Bingham, Power, Bannock, Caribou, Oneida, Franklin, Bear Lake	ID-02
Type of organization:	Non-profit organization		
Source of Labor:	Direct Service Provider and Contractors		

Budget Information

1. Program/ Project Identification No.			2. Program/Project Title	
DE-EE0006150			Weatherization Assistance Program	
3. Name and Address		Idaho Department of Health and Welfare	4. Program/Project Start Date	04/01/16
		450 West State St., 2nd Floor	5. Completion Date	03/31/17
		Boise, ID 83702		

Section A - Budget Summary

	Grant Program Function or Activity	Catalog of Federal Domestic Assistance Number	Estimated Unobligated Funds		New or Revised Budget		
			Federal	Non-Federal	Federal	Non-Federal	Total
	(a)	(b)	(c)	(d)	(e)	(f)	(g)
1.	Department of Energy Regular Allocation	81.042			\$1,862,705		\$1,862,705
2.							\$0
3.							\$0
4.							\$0
5.	Totals		\$0	\$0	\$1,862,705	\$0	\$1,862,705

Section B - Budget Categories

6.	Object Class Categories	Grant Program, Function or Activity				Total (5)
		Grantee Administration	Subgrantee Administration	Grantee T&TA	Subgrantee T&TA	
	a. Personnel	\$20,399				\$20,399
	b. Fringe Benefits	\$9,814				\$9,814
	c. Travel			\$15,000		\$15,000
	d. Equipment					\$0
	e. Supplies	\$1,376				\$1,376
	f. Contractual		\$172,067		\$310,525	\$482,592
	g. Construction					\$0
	h. Other					\$0
	i. Total Direct Charges	\$31,589	\$172,067	\$15,000	\$310,525	\$529,181
	j. Indirect Charges	\$8,911				\$8,911
	k. Totals	\$40,500	\$172,067	\$15,000	\$310,525	\$538,092
						SF-424A (Rev. 7-97)

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1. Program/Project Identification No.			2. Program/Project Title	
DE-EE0006150			Weatherization Assistance Program	

3. Name and Address	Idaho Department of Health and Welfare	4. Program/Project Start Date	04/01/16
	450 West State St., 2nd Floor	5. Completion Date	03/31/17
	Boise, ID 83702		

Section A - Budget Summary

6. Object Class Categories, Continued	Grant Program, Function or Activity					Total (5)
	Program Operations	Health & Safety	Liability Insurance	Leveraging		
a. Personnel						\$20,399
b. Fringe Benefits						\$9,814
c. Travel						\$15,000
d. Equipment						\$0
e. Supplies						\$1,376
f. Contractual	\$1,100,538	\$154,075	\$42,000	\$10,000		\$1,789,205
g. Construction						\$0
h. Other						\$0
i. Total Direct Charges	\$1,100,538	\$154,075	\$42,000	\$10,000		\$1,835,794
j. Indirect Charges						\$8,911
k. Totals	\$1,100,538	\$154,075	\$42,000	\$10,000		\$1,844,705
						SF-424A (Rev. 7-97)

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1. Program/Project Identification No.		2. Program/Project Title
DE-EE0006150		The Weatherization Assistance Program

3. Name and Address	Idaho Department of Health and Welfare	4. Program/Project Start Date	04/01/16
	450 West State St., 2nd Floor	5. Completion Date	03/31/17
	Boise, ID 83702		

Budget Information - Non Construction Programs

6. Object Class Categories, Continued	Grant Program, Function or Activity					Total (5)
	Financial Audits					
a. Personnel						\$20,399
b. Fringe Benefits						\$9,814
c. Travel						\$15,000
d. Equipment						\$0

	e. Supplies					\$1,376
	f. Contractual	\$18,000				\$1,807,205
	g. Construction					\$0
	h. Other					\$0
	i. Total Direct Charges	\$18,000	\$0	\$0	\$0	\$1,853,794
	j. Indirect Charges					\$8,911
	k. Totals	\$18,000	\$0	\$0	\$0	\$1,862,705
	Grand Totals	\$1,159,038	\$326,142	\$57,000	\$320,525	\$1,862,705
						\$0
7.	Program Income					\$0
						SF-424A (Rev. 7-97)
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BUDGET JUSTIFICATION FOR FORMULA GRANTS

Applicant: Idaho Department of Health and Welfare Budget Period: 04/01/2016 To: 03/31/2017

Award Number: DE-EE0006150

1. PERSONNEL – Prime Applicant only (all other participant costs are listed in 6 below and form SF-242A, Section B. Line 6.f. Contracts and Sub-Grants).

Positions to be supported under the proposed award and brief description of the duties of professionals.

Position _____

Description of Duties of

Professionals _____

Program Specialist

The DHW Program Specialist applies a portion of their time to the following elements of the Weatherization program; 1. Policy oversight, 2. Technical Guidance, 3. Budget review and analysis, 4. Contracts administration, 5. Fair hearings, 6. Monitoring assistance, 7. PAGE submission, and 8. Reports.

Program Manager

The DHW Program Manager applies a portion of their time to the following elements of the Weatherization program; 1. Program management, 2. Policy oversight, 3. Technical Guidance, 4. Budget review and analysis, 5. Fair hearings and 6. PAGE submission.

Direct Personnel Compensation:

<u>Position</u>	<u>Salary/Rate</u>	<u>Time</u>	<u>Direct Pay</u>
Program Specialist	\$28.39	208 hours	\$5,905.12
Program Manager	\$34.84	416 hours	\$14,493.44

2. FRINGE BENEFITS

a. Are the fringe cost rates approved by a Federal Agency? If so, identify the agency and date of latest rate agreement or audit below, and attach a copy of the rate agreement to the application.

b. If a. above does not apply, please use this box (or an attachment) to further explain how your total fringe benefits costs were calculated. Your calculations should identify all rates used, along with the base they were applied to (and how the base was derived), and a total for each (along with a grand total). If there is an established computation methodology approved for state-wide use, please provide a copy. Also, please fill out the table below with the Fringe Benefits Calculations.

IDHW currently does not have a Federal fringe rate agreement, either pending or in effect. IDHW uses the following formula to calculate fringe benefit rates:

- 6.20% FICA SSDI
- 1.45% FICA SSHI

- .15% Unemployment Insurance
- .68% Life Insurance
- 11.32% Retirement
- .65% Sick Leave
- .31% Human Resources
- 1.35% Workman's Compensation
- 26.00% Health Insurance
- 48.11% Total Fringe Rate**

Fringe Benefits Calculations

<u>Position</u>	<u>Direct Pay</u>	<u>Rate</u>	<u>Benefits</u>
Program Specialist	\$5,905.12	48.11%	\$2,840.95
Program Manager	\$14, 493.44	48.11%	\$6,972.79
Fringe Benefits Total			\$9,813.74

3. TRAVEL

a. Please provide the purpose of travel, such as professional conference(s), DOE sponsored meeting(s), project management meeting, etc. If there is any foreign travel, please identify.

<u>Purpose of Trip</u>	<u>Number of Trips</u>	<u>Cost Per Trip</u>	<u>Total</u>
PY 15 NASCSP Conference- Spring	2	\$1,500	\$3,000
PY 15 Energy Out West Board Meetings	4	\$1,000	\$4,000
PY 15 NASCAP Conference- Fall	1	\$2,000	\$2,000
PY 15 Program Management	6	\$1,000	\$6,000

4. EQUIPMENT - Generally defined as an item with an acquisition cost excess of \$5,000 and a useful life expectancy of more than one year. Further definitions can be found at 10 CFR 600.

a. List the proposed equipment below and briefly justify its need as it applies to the objective of the award.

<u>Equipment Item</u>	<u>Unit Cost</u>	<u>Number</u>	<u>Total Cost</u>	<u>Justification of Need</u>
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b. Please provide a basis of cost such as vendor quotes, catalog prices, prior invoices, etc. and justify need. If the Equipment is being proposed as Cost Share and was previously acquired, please provide the source and value of its contribution to the project and logical support for the estimated value shown. If it is new equipment which will retain a useful life upon completion of the project, provide logical support for the estimated value shown. Also, please indicate whether the Equipment is being used for other projects or is 100% dedicated to the DOE project.

5. SUPPLIES - Generally defined is an item with an acquisition cost of \$5,000 or less and a useful life expectancy of less than one year. Supplies are generally consumed during the project performance. Further definitions can be found in 10 CFR 600.

a. List all proposed supplies below, the estimated cost, and briefly justify the need for the supplies as they apply to the objectives of the award. Note that all direct costs, including Supply items, may not be duplicative of supply costs included in the indirect pool that is the basis of the indirect rate applies for this project.

<u>General Category</u>	<u>Cost</u>	<u>Justification of Need</u>
Printing	\$500.00	Public Hearing Notices - required under grant
Printing	\$875.77	Printing cost for Weatherization forms

b. Please provide a basis of cost for each item listed above and justify need. Examples include vendor quotes, prior purchases of similar or like items, published price list, etc.

Costs are based on prior purchase costs.

6. CONTRACTS AND SUBGRANTS - Provide the following information for new proposed subrecipients and subcontractors. For ongoing subcontractors and subrecipients, this information does not have to be restated here, if it is provided elsewhere in the application; under Name of Proposed Sub, indicate purpose of work and where additional information can be found (i.e. weatherization subgrants, Annual File section II.3).

<u>Name of Proposed Sub</u>	<u>Total Cost</u>	<u>Short Work Description</u>
Community Action Partnership Association of Idaho	\$106,000	Includes: Grantee Administration: \$44,500; Grantee T&TA: \$57,500; Leveraging: \$4,000
Direct Service Providers (7) listed In Annual File, Sect. IV.1.	\$1,701,205	Includes: All funds allocated to Direct Service Provider Administration: \$127,567; Direct Service Provider T&TA: \$253,025; Program Operations: \$1,100,538; Health & Safety: \$154,075; Liability Insurance: \$42,000; Financial Audit: \$18,000; and Leveraging: \$6,000.

Contracts and Subgrants Total \$1,807,205

Please see Attachments 04 and 05 for the Subrecipient SF 424A Worksheet and Budget Justification.

7. OTHER DIRECT COSTS - Other direct costs are items that cannot be properly included in the above categories. These direct costs may not be duplicative of costs included in the indirect pool that is the basis of the indirect rate applied for this project. Examples are: conference fees, subscriptions costs, printing costs, etc.

a. Please provide a General Description, Cost and Justification of Need.

<u>General Description</u>	<u>Cost</u>	<u>Justification of Need</u>
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b. Please provide a basis of cost for each item listed above. Examples include vendor quotes, prior purchases of similar or like items, published price list, etc.

8. INDIRECT COSTS –

a. Are the indirect costs rates approved by a federal agency? If so, identify the agency and date of latest rate agreement or audit and provide a copy of the rate agreement.

The IDHW Indirect Cost Agreement was approved by the Department of Health and Human Services on November 23, 2015. It went into effect on October 1, 2015. Please see Attachment 1 to view the approval letter from HHS.

- b. If the above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations separately.

The name and phone number of the individual responsible for negotiating the State's indirect cost rates.

Name: Jodi Osborn

Phone Number: 208-334-0679

Indirect costs calculations:

<u>Indirect Cost Account</u>	<u>Direct Total</u>	<u>Indirect Rate</u>	<u>Total Indirect</u>
PY 16 Indirect	\$46,589	16.056%	\$8,911

STATE PLAN/MASTER FILE WORKSHEET

V.1. Eligibility

1.1. Approach to Determining Client Eligibility

Definition of "Income"

Idaho utilizes the definition of income as defined in the most current guidance received by DOE.

Describe what household Eligibility basis will be used in the Program

The US Census Model-based Small Area Income & Poverty Estimates (SAIPE) data estimate for 2015 reports total Idaho population at 1,654,930. 2014 SAIPE estimates also indicate, of that population, approximately 14.8% are below federal poverty guidelines. Idaho will continue to provide weatherization services to these families as funding permits. For the purposes of the Idaho Weatherization Assistance Program, low income is defined as households at or below 200% of the OMB Poverty guidelines or receiving assistance under the Low Income Home Energy Assistance Program. Weatherization services are prioritized to certain portions of the eligible population. Household Priority is given to households with elderly or disabled occupants, young children (age 6 or younger), or with actual annual energy consumption costs greater than ten percent (10%) of the household's annual gross income (high energy burden). High energy burden and high energy consumption households will be defined as households receiving the highest tier of Low Income Home Energy Assistance Program benefits.

Describe the process for ensuring qualified aliens are eligible for weatherization benefits

Household income includes income of legal and undocumented individuals. The total gross income from all individuals that live in the dwelling is considered the total household income for this program.

V.1.2. Approach to Determining Building Eligibility

Procedures to determine that units weatherized have eligibility documentation

Reweathering

Weatherization program funds may be used to weatherize a dwelling under the following conditions:

- A. If a dwelling has been damaged by fire, flood, or an act of Nature, and repair of the damage to the weatherization materials is not paid for by insurance.
- B. If a dwelling was previously weatherized between September 30, 1975 and September 30, 1994; and
 - a. The applicant meets the eligibility criteria for assistance and the Energy Audit takes into account any previous energy conservation; and
 - b. The proposed measures and the total job has a SIR \geq 1.0 and does not exceed the DOE average cost per dwelling for the program year.

Reweatherized dwellings are tracked separately but are included in the total completed dwellings to determine the average cost per dwelling.

Idaho's Weatherization Assistance Program has developed procedures to maintain documentation of all weatherized homes with DOE funds. As part of the eligibility process, records are checked to ensure building eligibility.

Describe what structures are eligible for weatherization

Eligible Dwelling Expenditure (§440.18(e)):

An eligible dwelling is defined as a house, including a stationary mobile home, an apartment, and a group of rooms or a single room representing a separate living quarter occupied by a household who is income eligible for weatherization services.

State Historic Preservation Office (SHPO) Submission and Reporting

Direct Service Providers use the below information to ensure jobs submitted for SHPO review are being reported per federal requirements. This agreement was last signed July 8, 2011. See Attachment 13.

- A. All homes over 45 years old and site built, are subject to SHPO review.
- B. A SHPO Submission Form and required documentation are submitted to SHPO for review.
- C. Idaho tracks SHPO submissions and responses as part of the participant file.

Rental Units

Rental dwellings are eligible for weatherization services when an eligible renter occupies them. Once the renter is determined eligible for weatherization services and prior to scheduling an Energy Audit, the renter and owner must certify in writing they accept without protest the special conditions outlined in the Homeowner and Renter Agreement.

For example:

- A. OWNER agrees to reimburse the Direct Service Provider for the un-depreciated total amount of the weatherization costs if the dwelling is sold within the (12) month period beginning on the date the Job Completion Form is signed by the OWNER and/or RENTER and/or assignee. Reimbursement may be waived by DHW on a case by case basis.
- B. As the RENTER, I understand as long as I comply with the ongoing obligations and responsibilities to the OWNER, my rent will not be increased for 12 months following the completion of weatherization, nor will I be evicted solely due to the weatherization work. Nor will my rent be raised solely due to weatherization work performed.
- C. As the OWNER and/or RENTER of the above property, I understand that no undue or excessive enhancement will occur to the value of the dwelling as a result of the weatherization measures funded by the US Department of Energy.

A copy of the signed agreement must be given to the property owner and renter upon completion of the weatherization services. The original must be kept in the eligible renter's file. When the form is mailed to the Owner, a letter of explanation will accompany the form.

Complaints received regarding a property owner raising the rent amount in a weatherized dwelling will follow the complaint process:

1. The Direct Service Provider, as the initial point of contact, will contact the property owner to determine if the rent increase was due to provision of weatherization services to the household.
2. If it is determined by the Direct Service Provider that the rent increase is due to the weatherization measures provided, the State's Administrative Contractor will be contacted to send a letter to the property owner explaining program guidelines, specifically that any rent increases within 12 months of weatherization were agreed to per the signature on the Owner/Renter Agreement form.
3. The Direct Service Provider will follow up with the tenant to ensure the rent increase is discontinued to comply with the language on the Owner/Renter Agreement.
4. Escalation to the IDHW level will only occur in cases that cannot be resolved at the Direct Service Provider and/or the State's Administrative Contractor level.

Multifamily Units (§440.22(b))

Duplex or four-plex multi-family buildings may be weatherized if fifty percent (50%) of the dwellings in the building are occupied by eligible tenants. Larger multi-family buildings (five or more units) or tri-plex may be weatherized if sixty-six percent (66%) of the dwellings in the building are occupied by eligible tenants.

A qualified participant occupying a single dwelling unit in a multi-family building which does not meet the required criteria (50% or 66%) may receive weatherization services; however; services will be limited to the single dwelling unit and not include the common areas in the building. Eligibility of multi-family rental dwelling is determined by the type of rental as discussed in the following paragraphs.

Low Income Subsidized Multi-Family Rental Dwellings

If the dwelling is a low-income rent subsidized complex, proof of the tenant's income may be obtained from Housing Authority and/or property management. The Direct Service Provider must review the proof of income and sign as the verifier. Management for the multi-family dwelling must sign a master Owner/Renter Agreement for the eligible tenants. An application will be completed for the non-income eligible units, listing the names, address and apartment number and referencing it to the multi-family master application. The property owner or their designee must sign an Owner and Renter Agreement prior to receiving weatherization services. Each dwelling unit shall be assigned a job number and each dwelling unit shall be counted as a complete unit.

Non-Subsidized Multifamily Rental Dwellings

For multi-family dwellings which are not low-income subsidized, an application will be required for each dwelling unit. Once the required number of units has been identified as eligible, applications for the remaining dwellings will indicate only the address and apartment number and services provided based upon the fifty or sixty six percent (50% or 66%) criteria. The owner or their designee must sign an Owner/Renter Agreement for each dwelling to be weatherized. Each dwelling unit shall be assigned a job number and each multi-family dwelling unit weatherized will be counted as a completed unit.

Deferral Process/ Walk-Away Policy

Direct Service Providers performing weatherization activities which may aggravate existing unsafe conditions involving lead paint, asbestos, radon, VOC's, or other hazardous substances should determine whether to proceed with work based on the following factors:

- A. Does the Direct Service Provider have the necessary training, equipment and insurance to allow work to proceed; and
 - a. Does the condition of the dwelling and/or the hazardous substances to be encountered during the weatherization process pose a threat to the health and safety of the participants or to the workers themselves; and
 - b. To what extent will the specific energy efficiency measures to be installed disturb or aggravate conditions within the home; and
 - c. Will the cost of doing work safely represent a large portion of the total cost and exceed the average of 14% of total job cost allowed by the state for health and safety?
- B. Based on consideration of these factors, Direct Service Providers should determine whether to:
 - a. Proceed with all the weatherization work following safe work practices, or
 - b. Complete some of the weatherization tasks and defer others for a period of 90 days, or
 - c. Defer all the weatherization work until unsafe conditions are corrected.

Limited weatherization activities may be conducted which do not affect or aggravate conditions related to hazardous substances, but which provide energy efficiency benefits to participant households. If additional weatherization measures are deferred, Direct Service Providers will allow a deferment period of ninety (90) days following job completion for participants to correct deficiencies and/or hazards thereby allowing for the installation of those additional measures.

In cases where deficiencies or hazards are beyond the scope of weatherization (including dangers posed by lead based paint and/or laden dust residues, asbestos, radon, VOC's, or other hazardous substances), the participant will be informed of the condition and the file will be documented. The participant should be referred to additional support services, and a delay/denial of services may be required until repairs, upgrades or abatement activities have been completed. Please see Attachment 14 to view the Weatherization Deferral form.

V.1.3. Definition of Children

Definition of Children: Below age 18

V.1.4. Approach to Tribal Organizations

Recommend tribal organization(s) to be treated as local applicant? No

If YES, Recommendation: If no, statement that assistance to low-income tribe members and other low-income persons is equal.

Services to Idaho Native American Tribes

Five (5) Native American tribes reside in Idaho: the Kootenai, Coeur D'Alene, and Nez Perce tribes in the northern part of Idaho and the Shoshone-Bannock and Paiute tribes in southern Idaho. The U.S. Department of Energy allows the Grantee and local Direct Service Providers to provide service to tribal members living on the reservations. Direct Service Providers are to ensure services are provided proportionately to low-income Native Americans in their respective service areas. The Grantee will work with Direct Service Providers and encourage shared initiatives with tribal housing authorities.

Direct Service Providers are required to establish a referral system with the tribal councils to ensure that members of the Indian Nation residing on reservations who are eligible for weatherization services are linked quickly and effectively with the local Direct Service Providers. Native Americans not residing on tribal reservations will not be included in the referral system, but are equally eligible for services with all other applicants applying for weatherization through the Direct Service Providers.

V.2. Selection of Areas to Be Served

The Idaho Weatherization Assistance Program is operated through local Direct Service Providers in accordance with DOE program requirements.

The designated Direct Service Providers serve every county in Idaho and ensure delivery of weatherization services are provided statewide. Each Direct Service Provider's delivery of service will be proportionate to the number of low-income residents within Idaho's counties.

V.3. Priorities for Service Delivery

Priority service shall be given to households with:

1. Emergency situations, Elderly, Disabled, or Children age 6 and younger.
2. Actual annual energy consumption costs that are greater than ten percent (10%) of the household's annual gross income (high energy burden). Households with high energy burden are currently referred by the Low Income Home Energy Assistance Program.
3. Idaho will utilize the current client information database to identify households with high energy burden, defined as receiving the highest tier of LIHEAP benefit.
4. Effective October 1, 2014, households who are considered high energy burden based on 2. and 3. above will be reported annually to DOE using information collected from Weatherization providers.
5. Actual annual energy consumption that exceeds standards set by EPA's Energy Yardstick at <https://www.energystar.gov/>.

Direct Service Providers are required to use the Application Priority Formula in order to determine positioning and maintain a waiting list. Applicants with emergency situations have the highest priority, applicants are then weighted utilizing a points system. The list is then further broken down to application date for applicants with similar points totals. See Attachment 15.

V.4. Climatic Conditions

Heating degree days vary from 5,000 to over 10,000. Idaho is composed of populated

valleys surrounded by sparsely populated mountainous areas. The population is concentrated along the valleys and river basins. The chart below illustrates data from NOAA concerning heating degree days for representative locations in Idaho.

Direct Service Provider	City	County	HDD	Elevation
CAP	Lewiston	Nez Perce	5,220	745
CAP	Golden	Idaho	10,582	4,354
CCOA	Caldwell	Canyon	6,120	2,375
CCOA	McCall	Valley	9,549	4,800
EICAP	Idaho Falls	Bonneville	7,509	4,705
EICAP	Drummond	Fremont	10,024	5,610
El-Ada	Boise	Ada	5,022	3,048
El-Ada	Riddle	Owyhee	7,349	5,367
SCCAP	Twin Falls	Twin Falls	6,565	3,734
SCCAP	Hailey	Blaine	8,542	5,318
SEICAA	Pocatello	Bannock	7,461	4,462
SEICAA	Georgetown	Bear Lake	9,010	6,043

Idaho’s varied elevation and heating degree day variances are documented for each weatherized unit using the web-based energy audit application. The exposure factor is also documented in order to accurately assess which measures will save the most energy for each individual dwelling. This practice ensures that measures provided to a dwelling are appropriate based on the local climatic conditions.

V.5. Type of Weatherization Work to Be Done

V.5.1. Technical Guides and Materials

SWS Incorporation and Implementation

The Grantee’s Weatherization Operations Manual (IWOM) and Appendices are aligned to meet or exceed Standard Work Specifications for Home Energy Upgrades (SWS). The DOE approved manual will be distributed to the Grantee, the State’s Administrative Contractor, Direct Service Providers and contractors to ensure all work performed in Idaho is compliant with this document. Please see attachments 16 and 17. Idaho is using a DOE approved “deck of cards” (Attachment 17) that includes Idaho-specific standards/techniques. The “deck of cards” is incorporated into the IWOM as Section 7 (SWS). Any variances made to the “deck of cards” will be submitted to DOE for review and approval. All Weatherization providers receive a copy electronically. This resource also is used to provide training support in the field for material installer staff. The state will make any modifications to the “deck of cards” based on future guidance within required DOE and Department timelines.

Initial audits, material installations and quality assurance inspections performed in Idaho will be to the standards in the Idaho Weatherization Operations Manual and in compliance with all DOE Weatherization Program requirements.

The Grantee will provide language to be included in contracts that outline the expectation for work quality and instructs the State’s Administrative Contractor to include these expectations in any agreements entered into with Direct Service Providers and vendors.

All contracts and agreements will contain language which clearly states work performed meets the SWS specifications for work quality as prescribed by DOE program guidance.

All Program Guidance updates are communicated by the Grantee to the State's Administrative Contractor. The State's Administrative Contractor follows the process detailed below:

- A. Direct Service Provider program managers are advised of policy updates via email.
- B. Time permitting, Direct Service Provider program managers are allowed time to review, comment, and implement expected changes.
- C. Updates with effective dates, will be added to the IWOM, "deck of cards' and/or other appropriate documents.
- D. Direct Service Provider program managers must reply to the email containing guidance stating that they have received, read and understand the guidance.
- E. Direct Service Providers will be monitored to this requirement to ensure consistency in response.

The current allowable measures as listed in the Idaho Weatherization Operations Manual are:

1. Vinyl Windows with a minimum u-value of .35;
2. Solid slab, pre-hung, or mobile home doors;
3. Attic insulation (blown cellulose, fiberglass or SPF);
4. Wall insulation (dense pack cellulose, fiberglass or SPF);
5. Floor insulation (batt insulation or SPF; blown fiberglass for mobile homes);
6. Duct insulation (vinyl backed fiberglass batts or SPF);
7. Pipe insulation (pre-formed tubular or un-faced fiberglass);
8. Air sealing measures (attic, floor, plumbing/electrical penetration sealing, duct sealing)
9. Water heater repair/replacement;
10. Furnace/heat pump repair/replacement;
11. Cooling system repair/replacement;
12. Refrigerator replacement (Energy Star rated, freezer on top, no ice maker);
13. Clothes washer replacement;
14. Clothes dryer replacement;
15. Carbon Monoxide/Smoke detector installation;
16. Compact Florescent Light bulbs (CFL) installation;
17. Incidental Repairs to protect energy related measures; and
18. Health and Safety measures as described in the Idaho Health and Safety Plan. See Attachment 8.

The Idaho Weatherization Assistance Program uses a US DOE approved computerized Energy Audit to determine the most cost effective measures. The Energy Audit (EA5) is

described in the Idaho Weatherization Operations Manual.

Direct Service Providers are required to conduct two blower door tests (pre and post) on all dwellings. Crews are encouraged to perform a third blower door test during installation of air sealing measures to determine if targeted airflow rates within the dwelling unit are achieved.

The average cost per dwelling for materials, support, and labor shall follow annual DOE guidelines.

Expenditures for Health and Safety measures, including Lead Safe Weatherization, will be charged to the Health and Safety budget line item and are not be included in the average cost per dwelling. The health and safety average percentage cost will be evaluated throughout the program year. Equipment will be tracked separately.

Direct Service Providers may expend funds for Low Cost/ No Cost activities as specified in the Idaho Weatherization Operations Manual.

Any existing refrigerators will be removed from the residence and disposed of properly if a refrigerator is provided. Vendors providing refrigerators must sign off that the existing refrigerator was properly disposed of and the Freon recycled.

V.5.2 Energy Audit Procedures

Audit Procedures and Dates Most Recently Approved by DOE.

The Idaho Weatherization Assistance Program uses a US DOE approved computerized Energy Audit as specified by DOE guidance to determine the most cost effective measures. The Energy Audit (EA5) is described in the Idaho Weatherization Operations Manual. Idaho's EA5 energy audit tool is due for review and approval by DOE during the program year. Idaho is currently assessing whether to continue using EA5 or to transition to a DOE "pre-approved" energy audit tool. We will make a decision by spring 2016 to determine what tool will be most efficient for the state Weatherization program. Idaho will contact DOE to obtain approval for its audit tool for a July 28, 2016 effective date.

Single Family Units

The Energy Audit (EA5) update to incorporate the mechanical/architectural measure interaction was approved by DOE on 07/28/2011. This update includes a web based platform (Visual Basic.NET) that interfaces with Idaho's WITSWeb data tracking system.

Manufactured Housing Units

The Energy Audit (EA5) update to incorporate the mechanical/architectural measure interaction was approved by DOE on 07/28/2011. This application is also approved for use when auditing mobile homes.

Multi-Family Units

Idaho does not currently meet the 20% requirement of multi-family completions necessary for use of a multi-family energy audit. Idaho utilizes the current comprehensive single family audit application for multi-family units that are individually heated.

The Weatherization Assistance Program will continue to use a comprehensive audit system to ensure funds are managed effectively. Use of the computerized Energy Audit coupled with program policies and management decisions will ensure the most cost-effective measures are installed on a dwelling and support/labor costs are within contract requirements.

Idaho uses the computerized Energy Audit to calculate the cost effectiveness of each measure and the interaction of all measures that may be installed on a dwelling to determine the point of diminishing returns of the measure.

Multifamily production is estimated to be 5.6% of Idaho's overall production based on PY14 data.

V.5.3.Final Inspection

A final inspection compliant with current DOE guidance and the Grantee Quality Control Inspection Policy will be conducted on every weatherized dwelling before it is reported as completed to the Grantee and US DOE. Weatherization inspection procedures include, but are not limited to, ensuring the following:

1. Adult member or designated head of household is present during inspection.
2. Installed materials conform to DOE standard for weatherization materials.
3. Recommendations in the Energy Audit were followed.
4. All materials charged to the job were installed properly and to standards and specifications found in the Idaho Weatherization Operations Manual.
5. Any necessary minor adjustments are made.
6. Participants are educated on operation of newly installed measures, including equipment operation and maintenance.
7. Job Order Sheet is completed with all related information including documented justification for changes.
8. Participant and/or Property Owner are satisfied and their signature is obtained on the Job Completion Form.
9. A Home Energy Quality Control Inspector (QCI) will use a Quality Assurance Job Inspection Form to review and sign-off on jobs reported as complete.

To allow flexibility, Idaho will be allowing its Direct Service Providers to either contract with an outside QCI or to certify in-house staff as QCI. Currently, all Direct Service Providers have decided to have in-house staff QCI certified who will be defined as an Independent QCI as per current DOE requirements. The State's Administrative Contractor will review the BPI Certified Individuals search tool found at http://www.bpi.org/individual_locator.aspx at least quarterly to track Direct Service Provider staff certifications.

The Grantee will ensure that sub-grantees have QCI certified staff by either the national QCI database or by receipt of QCI certification credentials. Inspections are completed by Direct Service Provider in-house QCI certified staff on 100% of completed units. The inspecting QCI will have no involvement in the prior work on the home either as the Energy Auditor or as a member of the crew. Any agency not meeting this requirement will be subject to increased monitoring and/or additional requirements.

Assurance that sub-grantees' jobs are receiving inspections from QCI-certified inspectors and to the standard of SWS will be verified semi-annually and during annual monitoring scheduled in 2015 and 2016. The annual monitoring reviews 5% plus one of completed units. The interim review will consist of a minimum of three random participant file reviews to ensure that QCI-certified inspectors are utilizing the correct forms, inspecting work to SWS standards and documenting any irregularities that could result in additional training. Any irregularities will be treated in accordance with Idaho's established monitoring protocols.

The Inspector will note deficiencies in the job completion and request follow up work not meeting State standards and procedures. Any follow up work or additional work, considered 'Go Backs' will not be charged to DOE if the unit has been reported as completed per Department of Energy guidance. Please see Attachment 20 to view the current QA Final Inspection form.

Any inadequate inspection practices will be addressed using the following process:

1. Direct Service Provider program manager will provide information on how the inadequate practice will be addressed; and
2. Idaho's Administrative Contractor will take corrective action such as providing T&TA or increasing quality assurance measures until such time when the Direct Service Provider is in compliance with established inspection practices.

See Attachment 30 for additional detail on Corrective Action/Removal Procedures.

V.6. Weatherization Analysis of Effectiveness

Analysis of effectiveness of weatherization projects is made through the energy audit software, ensuring at least a savings to investment ratio of 1.0. Monthly production and energy savings data obtained through the online data system (WITSWeb) are compared to track outcomes. Comparative data, annual programmatic and on-site monitoring reports, and meetings with the WX Managers provide the basis for identifying deficiencies and potential opportunities for T & TA. The Grantee encourages sharing of best practices through the peer exchange described in Section V.8.4 in order to continually improve program implementation and a consistent approach across the state. The Grantee tracks Direct Service Provider performance reviews using the Annual Monitoring Summary reports provided by the State's Administrative Contractor. Technical and financial systems and practices are reviewed by the State's Administrative Contractor as described in Section V.8.3. Accepted OMB procurement procedures are used to ensure current market measure costing.

V.7. Health and Safety

The Idaho Weatherization Assistance Program has a Health and Safety Program that allows for production funds to be utilized for health and safety measures. While such measures may not meet the savings to investment ratio (SIR) of 1.0, they are necessary to address health and safety issues. Please see Attachment 22 to view the current Health and Safety Plan.

Idaho's Health and Safety Program expenditures will not exceed 14% of the Program Operations budget line item. All Direct Service Providers will be held to the Health and Safety budget, regardless of per unit expenditures. This percentage is based on historical

spending patterns of Program Operations and Health and Safety. Health and Safety measures, when charged to the Health and Safety line item, will not be included in the average cost per unit calculation. All Direct Service Providers have been provided an electronic copy of the most current DOE guidance.

As part of Idaho's Health and Safety plan, Direct Service Provider Staff use the Occupant Health Assessment form and the Health & Safety checklist to assist in assessing an occupant's pre-existing health conditions (Attachments 23 and 24). In addition, Direct Service Provider staff utilize the dual Lead/Mold Acknowledgement form to document presence of lead and/or mold hazards to occupant health. See Attachment 25.

As part of Idaho's Health and Safety plan, Direct Service Provider Staff also use the Idaho Weatherization Deferral Form to assess situations that may be beyond the scope of weatherization. Please see Attachment 14. Idaho's Health and Safety Plan will include ongoing training on most current DOE requirements. Please see Attachment 22 to view Idaho's Health and Safety Plan.

V.8. Program Management

V.8.1. Overview and Organization

The Idaho Department of Health and Welfare, Division of Welfare, is the state agency that applies for Department of Energy Weatherization Assistance Program funding. A percentage of two staff persons' time, the Program Manager and the Program Specialist, is funded with WAP funding and provides administrative oversight, training and technical assistance and reporting functions for this program. The Department's Contracts and External Resources Monitoring team provides compliance monitoring of the Community Action Partnership Association of Idaho. See Attachment 3.

Idaho currently contracts with the Community Action Partnership Association of Idaho, a private non-profit agency, to provide administrative oversight of the state Weatherization program. Administrative functions include program and fiscal oversight, training, and technical assistance, reporting, and some monitoring functions. Six staff people totaling .95 FTE are funded under this grant.

V.8.2. Administrative Expenditure Limits

The Grantee will permit Direct Service Providers with DOE approved budgets of less than \$350,000 to receive up to an additional five percent (5%) of their total budgeted amount, based upon their approved indirect rate or a Cost Allocation Plan and Budget Summary justification.

All Direct Service Providers receive a comprehensive review to ensure its weatherization administrative costs are within the allowable Administrative Budget Limit. Support costs are reviewed to ensure operations are efficient, related to energy savings, and in compliance with Federal DOE Regulations, State programmatic, and fiscal requirements. Additionally, Direct Service Providers are required to have financial audits conducted by an independent audit firm. All requests for reimbursement are verified for accuracy and compliance with Federal DOE Regulations, State programmatic, and fiscal requirements by the Grantee prior to payment.

V.8.3. Monitoring Activities

Purpose

Monitoring is the single most important management tool used by the Grantee to ensure Direct Service Providers comply with Federal Department of Energy regulations and State of Idaho rules governing the Idaho Weatherization Assistance Program. Monitoring determines the efficiency and effectiveness of program services and identifies areas where additional Direct Service Provider training and technical assistance is needed.

Procedure

The State's Administrative Contractor conducts ongoing monitoring as part of the administrative function. Annual onsite visits are completed in accordance with program guidance. The focus of the on-site visits is to conduct random physical inspections of weatherized homes. Based upon the annual review findings, any activities found to be out of compliance will be resolved by the Direct Service Provider as defined in the Idaho Weatherization Operations Manual, Contract Compliance and Remedial Plan. Up to six percent (6%) of Grantee T & TA funds will be spent on monitoring.

The State's Administrative Contractor will provide a technical and administrative monitor. All technical monitor activities will use QCI Certified inspectors to ensure that DOE Inspection requirements are met by Idaho's Weatherization Assistance program.

In PY 16, the State's Administrative Contractor will contract with a QCI-Certified Inspector to perform technical on-site monitoring of all Direct Service Providers, including any follow up reviews. The State's Administrative Contractor will utilize the BPI website to locate third-party QCI-certified Inspectors.

The State's Administrative Contractor will coordinate on-site scheduling in a way that minimizes cost and ensures appropriate use of resources. The monitoring will be complete by May 31, 2016. Estimated monitoring travel costs charged to T&TA are \$19,000 and administration \$700.

Monitoring results, and accompanying documentation, are submitted to the Direct Service Provider and Grantees. Direct Service Providers are required to correct any deficiencies identified during the monitor using non-federal funds. Monitor results also will be used to inform T&TA activities as a means of improving work outcomes.

Using Direct Service Provider monitor results as one of its tools, the state will conduct annual monitoring of its State's Administrative Contractor to ensure its grantee is administering the program in compliance with federal and state program requirements. Identified noncompliance will require immediate corrective action by the State's Administrative Contractor to bring the Direct Service Providers into compliance with program requirements.

All critical non-compliance findings that result in the Direct Service Provider's program being put on probation or contract termination will be reported to the DOE Project Officer by the Idaho Department of Health and Welfare.

Monitoring

Monitoring activities comprise three major parts:

1. Fiscal monitoring (including a review of internal controls and agency health)
2. File reviews of completed jobs
3. On-Site reviews of completed jobs.

Idaho will not be utilizing the 'exemplary agency' waiver for the PY 16 grant period. All Direct Service Providers will receive on-site reviews (of completed jobs) and file reviews for program year 2016.

The dates that Direct Service Providers were monitored for fiscal and on site reviews are as follows:

- | | |
|---|-------------------|
| 1. SouthEastern Idaho Community Action Agency, Inc. | April 23, 2015 |
| 2. Eastern Idaho Community Action Partnership, Inc. | April 15-16, 2015 |
| 3. Community Action Agency, Inc. | April 7-8, 2015 |
| 4. Canyon County Organization on Aging | April 9-10, 2015 |
| 5. El Ada, Inc. | April 21-22, 2015 |
| 6. South Central Community Action Partnership | March 10, 2015 |

Projected Dates of Monitoring Visits

Monitoring visits for all Direct Service Providers will be scheduled between January and May of 2016 Please see Attachments 27-30 to view the current administrative, financial, programmatic and on-site monitoring tools.

Approach

The State's Administrative Contractor and each Direct Service Provider is monitored annually for compliance with federal, state and financial requirements. This monitoring includes on site reviews of at least 5% plus one unit of completed units, participant file reviews, proper procurement/invoicing techniques, proper documentation when determining eligibility, proper designation of allowable administrative expenses between administration and program support categories, and the practice of using DOE funds to return to previously completed homes to make adjustments or include additional measures not done on the initial visit and after the unit was reported to DOE as completed.

Visit

An exit interview is held for each Direct Service Provider upon completion of the program, on-site quality assurance and participant file review. An initial monitoring report is submitted within three business days. Direct Service Provider responses are due within 30 business days. Any significant Findings require a Quality Improvement Plan to be developed by the Direct Service Provider and submitted within 30 business days. Direct Service Provider responses are incorporated into a final monitoring report and all outstanding issues are followed up by the State's Administrative Contractor within 30 business days after receipt of the final monitoring report. Any noncompliance unresolved within forty-five (45) days will be reported to the DOE Project Officer by the Grantee. Any sensitive or significant noncompliance findings will be reported to the PMC immediately. Please see Attachment 31 for the Corrective Action/Removal procedures.

Tracking and Analysis

Idaho will utilize its current tracking system to note monitoring findings, issues, recommended corrective actions, deliverables, due dates, responsible parties, actions taken, and final resolutions. Upon completion of annual monitoring of all Direct Service

Providers, the Grantee and the State's Administrative Contractor will analyze the identified findings and issues to determine whether additional training is needed individually or collectively.

The State's Administrative Contractor will use the monitoring results to develop a Direct Service Provider monitoring analysis overview report that identifies T&TA needs, strengths, and weaknesses. The results of the report will be one factor considered during annual planning for future training and monitoring.

Reporting

All Direct Service Provider monitoring visits will be summarized, including review of financial audit, monitoring results, and Findings at least annually. The results will be used to identify needs, strengths, and weaknesses of the network. All materials will be available to the DOE Project Officer for review during program monitoring visits.

V.8.4. Training and Technical Assistance Approach and Activities

Training and Technical Assistance Objective

The objective of Training and Technical Assistance funding is to provide resources designed to improve the quality of weatherization services delivered to low-income households. The types of assistance that will be provided include technical and program management training opportunities.

Types of Technical Training

Annual monitoring visits, monthly program manager meetings (in-person or via teleconference), and release of program guidance will be used to assess Direct Service Provider training needs. Idaho encourages all Direct Service Providers to attend industry conferences, including Energy Out West and Affordable Comfort, and achieve/maintain QCI certification to ensure Idaho's program is utilizing industry standard technologies and techniques.

Tier 1 Training

Idaho will identify and coordinate with IREC-accredited training providers to administer Tier 1 occupation specific NREL Job Task Analysis (JTA) training for all WAP workers in Idaho. All Direct Service Provider staff will be required to attend training events, achieve and maintain Material Installer, Crew Leader or Energy Auditor certification, as appropriate to their job tasks.

Training events will be scheduled by certification type, trainer availability and to allow Direct Service Provider production to continue without delay.

In 2015, Idaho had 53 weatherization staff across 6 Direct Service Providers with Tier 1 Training. Idaho will continue to send staff to Tier 1 training as needed. Idaho is prioritizing Tier 1 training within the Energy Auditor job function as defined by the NREL Job Task Analysis. Additional JTAs will be prioritized for the Crew Chief and Retrofit Installation Tech job functions as training requirements for Energy Auditor are met.

Direct Service Provider staff are required to complete a minimum of 20 hours of IREC accredited Tier 1 training for their specific job function annually. New staff hired after April 1, 2015 will begin their Tier 1 training within 90 days of their hire date.

Tier 2 Training

As needed, sub grantees may receive Tier 2 training for single issue, short-term training pertaining to identified field deficiencies and/or enhancement of existing knowledge, skills and abilities. Below are examples of Tier 2 training opportunities that will be provided in Idaho.

Direct Service Provider Peer Exchange

The State and/or the State's Administrative Contractor will utilize the Direct Service Providers to enhance technical expertise and application techniques and to ensure efficient, cost effective use of limited Training and Technical Assistance resources. Idaho has developed a Trainer level of Direct Service Provider Auditor/Inspector staff who will deliver trainings to peers in the network, as appropriate.

Other Trainings

Mandatory trainings will be coordinated by the State's Administrative Contractor and will require attendance of at least two Direct Service Provider staff. Some examples of mandatory training events are EPA Lead Renovator training or re-certification, mold and moisture training, training on the use of the energy audit, and/or indoor air quality training.

Direct Service Providers are required to track attendance at trainings (intra-agency, inter-agency, and outside) and report quarterly. This information is included in the annual DOE Leveraging and T&TA Report. These activities also will be reviewed as part of the annual monitoring activities.

All Direct Service Providers are required to expend at least 50% of allocated Training and Technical Assistance funding on training prior to re-allocating this funding to Program Operation line items.

Client Education

Client education will be provided to align with the requirements in the Standard Work Specifications. In multifamily units, the building manager will also be provided education on the maintenance of provided weatherization measures.

Training and Technical Assistance Plan

T & TA Funding Allocation

The portion of T & TA funds allocated for program monitoring is six percent (6%). This amount is based on actual costs incurred during PY 14 monitoring. In 2016, the State's Administrative Contractor will contract with a QCI-certified inspector to complete annual on-site quality assurance monitoring duties.

Provided Training

All Direct Service Providers receive a T & TA allocation in order to ensure program staff has access and resources to attend training opportunities. Needs are assessed with Direct Service Provider Program Managers on an individual basis to identify additional trainings not covered in the statewide prioritization to ensure consistency of service delivery and quality assurance. The State's Administrative Contractor coordinates all mandatory trainings and tracks attendance. Tier 1 training will be coordinated by the State's Administrative Contractor as described in section V.8.4 of this plan.

Lead Training Certification

Lead Safe Work Practice training is required of all new hires within 90 days of permanent employee status. All Direct Service Providers must have at least two (2) EPA Certified Lead Renovators on staff. All contractors providing services with DOE funds must have at least one (1) EPA Certified Lead Renovator on staff to ensure compliance with current DOE guidance. All Direct Service Providers are encouraged to train program staff at all levels in OSHA, CPR/First Aid, and general safety procedures.

QCI Certification

All Direct Service Provider Inspectors will be required to achieve and maintain QCI certification. As part of the annual monitor, Idaho will review and verify continued certification standards for QCI inspectors are being met as part of its QA and monitoring activities.

There are currently 12 QCI-certified Inspectors in Idaho's Weatherization network of six Direct Service Providers. All received their certification prior to 12/31/14. In addition, each Direct Service Provider has a minimum of one Inspector with the majority of Direct Service Providers having more than one staff person certified.

The Grantee, State's Administrative Contractor and Direct Service Providers will be responsible for securing retention agreements from staff or subcontractors in exchange for QCI training.

The State's Administrative Contractor will review the BPI Certified Individuals search tool found at http://www.bpi.org/individual_locator.aspx to track Direct Service Provider staff certifications a minimum of quarterly.

Training Assessment

Direct Service Providers will produce and maintain permanent training records for staff that includes date of hire, course work, course date and training provider. The State's Administrative Contractor will assess the needs of Direct Service Providers on a quarterly basis to track Direct Service Provider progress and provide training opportunities as needed.

Additionally, Training and Technical Assistance needs are noted and discussed during annual Direct Service Provider on-site monitoring visits, meetings/conferences and upon request from Direct Service Providers.

Training Priority Development

Idaho Administrative Contractor assesses Direct Service Provider productivity on a quarterly basis. The State's Administrative Contractor has developed a web-based energy audit tool that will allow assessment of energy savings outside of the historical on-site monitoring period. Any anomalies noted in energy audits will allow for additional individualized training in both the energy audit tool and in providing holistic weatherization services to program participants.

The current priorities for training are as follows:

1. Semi-annual quality assurance reviews of participant files;
2. Annual review of trends observed during annual on-site monitoring;

3. Discussion of trends with Weatherization Manager network to share best practices and ensure standards and program guidance are implemented consistently throughout Idaho; and
4. Use of redacted photos to demonstrate on-site observations of measure installation challenges and best practices.

The State's Administrative Contractor will also coordinate training and technical assistance events at least once per year and on an individual Direct Service Provider basis, as deemed necessary based on monitoring results and/or at the Direct Service Provider's request.

Peer Exchange

The State's Administrative Contractor will coordinate with the Direct Service Provider Weatherization Program Managers to facilitate an annual peer exchange to share best practices and implement new ideas, systems and solutions.

The annual Peer Exchange will be conducted over a 5 day period involving 12 visiting peers; 2 from each Direct Service Provider. Rotating on an annual basis, 3 Direct Service Providers will host the peers.

Each Direct Service Provider will host 4 peers plus the State's Administrative Contractor for a minimum of one day. Once at the hosting Direct Service Provider, the peers will be divided into two groups to cover more situations, increase personal involvement and engagement, and to split up members of the same Direct Service Provider. Peers will be chosen from WX Manager-submitted lists and will be paired to ensure exposure to different viewpoints. Each peer will be expected to participate for a minimum of one day.

V.9. Energy Crisis and Disaster Plan

In the event of disasters, DOE WAP resources may be requested by the appropriate authority within the state of Idaho. Idaho will enlist their existing weatherization network to appropriately follow DOE program requirements.