End Stage Renal Disease Facilities (ESRD)

End Stage Renal Disease is that stage of renal impairment that appears irreversible and permanent, and requires regular dialysis or kidney transplant to maintain life. An ESRD facility is a facility which provides dialysis services to patients.

ESRD Federal and State Requirements

In Idaho, ESRD facilities are governed by federal certification regulations. Idaho has no state licensure rules for ESRD facilities. Applicable federal certification requirements are found in the CMS State Operations Manual (SOM), Chapter 2, § Section 2270, Appendix H, and Appendix Q. Below is a brief overview of the regulations. Please refer to the SOM and Appendices for additional information.

Appendix H – Guidance to Surveyors: ESRD Facilities

Appendix H includes the federal statutory designation, the regulatory language, and interpretive guidance. The regulations are divided into Conditions for Coverage (CFCs or Conditions) and standard level requirements. CFCs represent the overall services and supports that an ESRD facility must provide. Under each CFC are the standard level regulations associated with that CFC. The purpose of the interpretive guidance is to clarify the information that is relevant to specific requirements, and to assist in consistent decision-making about facility compliance.

Appendix Q – Guidelines for Determining Immediate Jeopardy

Appendix Q provides guidance specific to situations of Immediate Jeopardy. Jeopardy is defined as a situation in which the entity's noncompliance "*…has caused, or is likely to cause, serious injury, harm, impairment, or death to a resident receiving care in a facility".*

The three components of Immediate Jeopardy are *Harm*, *Immediacy*, and *Culpability*. Harm may be actual or potential; immediacy means the harm is likely to occur in the very near future if immediate action is not taken; and culpability asks if the entity knew or should have known about the situation.

Additional Information

For additional information regarding the ESRD program, please visit CMS End Stage Renal Disease (ESRD) Center .

- Application Process
- Survey Process
- Change in Ownership Process
- Change in Location
- Change in Services, Expansion, and/or Addition of Services Process
- ESRD Frequently Asked Questions, CMS S&C Letter 11-13
- Web Resources