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INFORMATIONAL LETTER #2012-01

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**DATE:** November 28, 2012

**TO:** ADMINISTRATORS OF ALL SKILLED NURSING FACILITIES IN IDAHO

**FROM:** DEBBY RANSOM, R.N., R.H.I.T., Chief  
Bureau of Facility Standards

**SUBJECT:** **S&C: 12-45-NH** — Advance Copy of Interim Guidance - Revisions to State Operations Manual (SOM), Appendix P-Traditional Survey Protocol for Long-Term Care (LTC) Facilities and Chapter 9/Exhibits including Survey Forms 672, 802, 802S and 802P

The Survey and Certification Letter listed above was released by CMS on September 27, 2012.

This Survey and Certification Letter with this Informational Letter is posted on our web site at [www.facilitystandards.idaho.gov](http://www.facilitystandards.idaho.gov). Scroll to the bottom of the page labeled *Facility Standards (Providers' Page)* and on the right hand side is the title "Informational Letters". Click on the bottom item entitled "State Letters" and that will take you to all our Bureau released Informational Letters.

If you have any questions, please contact Loretta Todd, RN, or Lorene Kayser, LSW, Co-Supervisors, Long Term Care program, in our offices at 208/334-6626.

  
DEBBY RANSOM, R.N., R.H.I.T., Chief  
Bureau of Facility Standards

DR/nm  
Attachment

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop C2-21-16  
Baltimore, Maryland 21244-1850



**Center for Clinical Standards and Quality / Survey & Certification Group**

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Ref: S&C: 12-45-NH

**DATE:** September 27, 2012

**TO:** State Survey Agency Directors

**FROM:** Director  
Survey and Certification Group

**SUBJECT:** Advance Copy of Interim Guidance - Revisions to State Operations Manual (SOM), Appendix P-Traditional Survey Protocol for Long-Term Care (LTC) Facilities and Chapter 9/Exhibits including Survey Forms 672, 802, 802S and 802P.

**Memorandum Summary**

- **Revisions to Appendix P of the SOM:** Survey Protocols for LTC Facilities have been revised for the Traditional Survey process Tasks 1-5C to reflect changes for the:
  - Minimum Data Set (MDS) 3.0;
  - New Quality Measures (QM) Reports;
  - Revised CMS forms 672 and 802, 802S and 802P; and
  - Sampling and reviewing residents receiving psychopharmacological medications, specifically antipsychotic medications.
- **Revisions to Chapter 9 of the SOM:** Various Exhibits including survey forms have been revised to accommodate changes for MDS 3.0 and the new QM Reports; and
- **New QM Reports:** Available for use in the Traditional Survey Process.

The Centers for Medicare & Medicaid Services (CMS) has updated Appendix P of the SOM to include the following revisions:

- Section II.B – The Traditional Survey has been updated to include the use of the new QM Reports, revised survey forms 672, 802, 802S and 802P, and MDS 3.0; and

The following Exhibits as part of the SOM, Chapter 9 have been revised to reflect the new QM Reports and MDS 3.0:

- Exhibit # 259 MDS Automation Set/Contract Agreement Approval Regional Office (RO) Checklist
- Exhibit # 260 Entry, Discharge and Reentry Algorithms

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- Exhibit # 261 Privacy Act Statement
- Exhibit # 262 Correction Policy Flowchart
- Exhibit # 263 MDS Submission and Correction
- Exhibit # 264 Resident Census and Conditions of Residents – form 672
- Exhibit # 265 Roster Sample Matrix form - 802
- Exhibit # 266 Roster Sample Matrix form -802 – Provider Instructions
- Exhibit # 267 Roster Sample Matrix form 802 – Surveyor Instructions
- Exhibit # 268 Facilities Characteristics Report
- Exhibit # 269 Facility Quality Measure Report
- Exhibit # 270 Resident Level Quality Measure Report
- Exhibit # 271 QM Reports Technical Specifications
- Exhibit # 273 Correction Policy Summary Matrix

The following Exhibits as part of the SOM, Chapter 9 have been deleted:

- Exhibit # 272 Overview of MDS Submission Record - **deleted**
- Exhibit # 274 Definition of Important Dates in the Resident Assessment Instrument (RAI) process - **deleted**

If you have any questions regarding this memorandum, please contact Kathleen Johnson at 410-786-3295 or via e-mail at [Kathleen.Johnson@cms.hhs.gov](mailto:Kathleen.Johnson@cms.hhs.gov)

**Implementation Date:** Surveyors are to use these revised protocols, forms and QM reports as applicable when conducting Traditional Surveys, beginning no later than December 1, 2012.

**Training:** Power point slides with speaker notes are attached, to train on the Appendix P revisions.

CMS is in the process of updating the SOM, to reflect these revisions. An advance copy of the interim Survey Protocol guidance is attached. The final version of this document, when published in the on-line SOM may differ slightly from this interim advanced copy.

/s/

Thomas E. Hamilton

**Attachments:**

Appendix P-Traditional Survey Protocol for Long-Term Care (LTC) Facilities  
Chapter 9/Exhibits including Survey Forms 672, 802, 802S and 802P

cc: Survey and Certification Regional Office Management