

Plan of Correction

What criteria are required for the development of a Plan of Correction?

The five (5) components are:

1. Identify how corrective action will be accomplished for those residents found to have been affected by the deficient practice.
2. Describe how the facility will identify other residents having the potential to be affected by the same deficient practice.
3. Explain measures to be put into place or systemic changes made to ensure that the deficient practice will not recur.
4. Identify how the facility will monitor its corrective action to ensure the deficient practice is being corrected and will not recur; i.e., what program will be put into place to monitor the continued effectiveness of the systemic change.
5. State the completed date; the date that the facility identifies compliance can be achieved.

What is the purpose of a Plan of Correction?

The Plan of Correction in combination with the Statement of Deficient practice will become the survey report disclosed to the public. The Statement of Deficient Practice identifies areas of non-compliance cited during the survey process. The Plan of Correction identifies how the facility plans to correct the non-compliance.

Is there a specific time line for a plan of correction submitted?

Yes, approximately 24 days following a survey. When deficiencies are to be cited the State Agency (SA) is required to mail the provider/supplier a copy of the Statement of Deficiencies, HCFA 2567, within **10 working days** after the survey.

The facility administrator is responsible to submit a plan of action to correct the deficiency(ies) and the expected date of completion within **10 calendar days** from receiving the Statement of Deficiencies.

Are Plans of Correction rejected?

Yes, occasionally, if the State Agency finds a Plan of Correction unacceptable they will seek an acceptable one from the facility. The facility administrator must sign changes to a Plan of Correction.

When the adjustments required to the Plan of Correction are minor in nature (i.e., date of completion, entity responsible for monitoring), the State Agency may contact the provider by telephone, make the necessary adjustments on the form, and submit the changes. The State Agency does not amend a Plan of Correction without the facility's concurrence.