

## **OASIS RESOURCE LIST**

### **December 2007**

Outcome and Assessment Information Set

**KEEP THIS LIST HANDY FOR REFERENCE**  
There is no one-stop shop for all OASIS Information.

TOPIC

WEBSITE

### **FEDERAL REGULATIONS RELATED TO OASIS**

- 42 CFR 484.11 Release of Patient Identifiable OASIS Information
- 42 CFR 484.20 Reporting OASIS Information
- 42 CFR 484.55 Comprehensive Assessments of Patients

OASIS regulations for mandatory use, collection, encoding, and transmission published in the Federal Register 01/25/99 were delayed and reestablished to be effective 7/19/99. The Privacy Act System of Records included OASIS on 12/27/01. HHA Prospective Payment System was adopted on 07/03/00. The Medicare Modernization Act of 2003 (MMA) suspended the requirement of OASIS data collection for non-Medicare and non-Medicaid patients effective 12/08/03. Final OASIS Reporting Regulations became effective 6/21/06 and changed the OASIS transmissions to 30 days and allowed branch identifications numbers.

Federal Regulations related to OASIS

[www.cms.hhs.gov/oasis](http://www.cms.hhs.gov/oasis)

### **GENERAL OASIS INFORMATION**

[www.cms.hhs.gov/oasis](http://www.cms.hhs.gov/oasis)

Here is the site to access Center for Medicare/Medicaid Services (CMS) basic information on OASIS Note that within the categories are many links to specific items.

Overview

Background

OASIS Regulations

HAVEN 7.1 (9/06)

OASIS Data Set B1-0108 (1/08)

Data Submission Specifications 1.60 (11/07)

User s Manual with links to:

OASIS Implementation Manual (1/08)

HHA Systems User s Guide (6/06)

HAVEN System Reference Guide (6/06)

OASIS PPS (10/07)

HHA Questions and Answers (reference to Q and As listed on the QTSO website formatted to individual MO items)

OASIS Based HHA Patient Outcome and Case Mix Reports

Training – Wound Broadcast (4/04)

### **CMS POLICY MEMORANDA** (Survey and Certification)

[www.cms.hhs.gov/SurveyCertificationGenInfo/PMSR/list.asp](http://www.cms.hhs.gov/SurveyCertificationGenInfo/PMSR/list.asp)

Click on left side of page “Policies and Memos to States and Regions. To show only related items, type “Home Health” for 15 memos and “OASIS” for 8 more memos.

The Center for Medicare/Medicaid Services periodically sends to the State Agency to clarify issues and provide guidance related to the collection and transmission of OASIS data as well as other survey issues. These letters are available to Home Health Agencies on the website as listed below in the categories under Home Health (HH) and OASIS (O). Please change the contact person from Central Office to your State OASIS Education Coordinator.

POLICY MEMO	S&C#	Date
(HH) Renewal of Deeming Authority of ACHC	6-14	5/01/06
(O) Clarification of Medicare Prescription Drug	4-26	04/08/06
(HH) HHA Drop Sites	5-07	11/12/04
(HH) Physician Rubber Stamp Signature	4-35	07/08/04
(O) Oasis for Private Pay Patients	4-12	12/11/03
(HH) Correction Policy for Data on HH Compare	3-33	09/11/03
(HH) HH Survey Protocol Enhancements	3-13	02/13/03
(HH) Change Requirements Vaccine Orders	3-02	10/10/02
(O) Proposed Changes to OASIS	2-41	08/08/02
(HH) Provider Branch ID	2-37	08/08/02
(HH) HHA Branch Office Approval	2-30	05/10/02
(HH) Services Provided by HHA	2-13	01/07/02
(O) OASIS Requirements in new HHA	2-02	10/16/01
(O) WOCN OASIS Guidance Document	1-21	08/06/01
(HH) HHPPS for HHAs	1-15	04/30/01
(HH) HHA Q and A	1-14	04/23/01
(O) OASIS Correction Policy	1-12	04/20/01
(O) Applying OASIS to Medicare Beneficiaries	1-03	02/12/01
(HH) OASIS in Initial HHAs	1-02	02/01/01

### **OASIS CLINICAL ISSUES**

CMS has identified guidelines and strategies for interpreting OASIS items. The two official sources are: OASIS User’s Manual (6/06) and OASIS Q and A (6/05).

OASIS items have been developed, tested and refined to enable rigorous and systematic measurement of home health care outcomes. An OASIS item remains constant until it is retired and replaced with a new number or deleted. CMS documentation must be

consistent and comparable across the nation using uniform definitions and terminology following CMS guidelines and interpretations located in the CMS OASIS Manual. CMS does not review nor approve other manuals from vendors or consultants.

OASIS User's Manual Chapter 8 – Item by Item Review [www.cms.hhs.gov/oasis](http://www.cms.hhs.gov/oasis)  
 OASIS Questions and Answers [www.qtso.com/hhdownload.html](http://www.qtso.com/hhdownload.html)  
 12 Categories for Quick Reference  
 Wound Care  
 National Pressure Advisory Panel Position [www.npuap.org](http://www.npuap.org)  
 Updated Staging System (2/07)  
 CMS Broadcast on Wound Care (4/04) [www.qtso.com/download.html](http://www.qtso.com/download.html)  
 Wound Guidance Document 8/01 [www.wocn.org](http://www.wocn.org)

### ICD-9 CODING

CMS does not provide training for doing questions but refers you to the following resources, certified coding training sessions, or the services of a certified coder.

American Health Information Association [www.ahima.org](http://www.ahima.org)  
 American Hospital Association [www.ahacentraloffice.org](http://www.ahacentraloffice.org)  
 CMS Coding and Billing Information [www.cms.hhs.gov/HomeHealthPPS](http://www.cms.hhs.gov/HomeHealthPPS)

### OASIS DATA TRANSMISSION

CMS has made available data entry system software that is used to electronically encode and transmit OASIS data. HAVEN (Home Assessment Valuation and Entry System) is accessible to all home health providers by downloading the program through the web address. Providers have the option of using other software that meets the regulatory requirements as listed in the data submission specifications.

The QIES (Quality Information and Evaluation System) Help Desk answers your questions on the HAVEN system provides technical assistance on transmitting your OASIS data to the state server. A secure network through an AT&T global dialer is required to transmit your OASIS data.

HAVEN Data Entry Software 6/06 [www.cms.hhs.gov](http://www.cms.hhs.gov)  
 QIES Help Desk (7AM-7PM Central Time) phone: 1-877-201-4721  
 HAVEN Technical Assistance [e-mail: HAVEN\\_Help@ifmc.org](mailto:HAVEN_Help@ifmc.org)  
 Transmission Corrections  
 HHA Correction Policy [www.cms.hhs.gov](http://www.cms.hhs.gov)  
 AT&T Global Dialer to MDCN phone: 1-800-905-2069  
 Medicare Data Communications Network  
 Connection to Network/Reset Passwords  
 HHA User's Guide and Error Descriptions 6/06 [www.hhs.cms.gov](http://www.hhs.cms.gov)

## OASIS REPORTS

Outcome Based Quality Monitoring (OBQM) report includes the Case Mix Report and 13 Adverse Events. Agencies identify areas of care needing improvement by reviewing and evaluating the numbers. Outcome Based Quality Improvement (OBQI) report presents 41 patient outcomes of the agency compared with national reference values. The report displays 30 risk adjusted outcomes and 11 descriptive outcomes. Agencies focus quality improvement activities on selected target outcomes to investigate care processes and make changes leading to improved patient outcomes.

Outcome Based Quality Monitoring [www.cms.hhs.gov/HomeHealthQualityInits](http://www.cms.hhs.gov/HomeHealthQualityInits)  
 OBQM User's Manual (1/01)  
 Accessing OBQM Reports (1/01)  
 Appendix: Reviewing Case Mix and Adverse Event Reports (1/01)  
 Technical Documentation of OBQM Measures

Outcome Based Quality Improvement [www.cms.hhs.gov/HomeHealthQualityInits](http://www.cms.hhs.gov/HomeHealthQualityInits)  
 OBQI Implementation Manual (2/02)  
 OBQI Supplement (5/03)  
 Accessing OBQI/OBQM Reports Using CASPER (2/02)  
 Overview of Risk Adjustment and Outcome Measures  
 Documentation of Prediction Models  
     Appendix A: Prediction Models  
     Appendix B: Technical Documentation of Measures  
 Refinements of Risk Adjustments (4/03)  
 Revised Patient Tally Report Workbook with Data Filtering Tools (5/03)

## QUALITY IMPROVEMENT

CMS established the Quality Initiative 11/01 to empower consumers with quality of care information and support providers to improve the quality of health care. Consumers are able to view 10 Home Health Quality measures (revised 9/05) with updates every three months through reports called Home Health Compare. Two new measures will be added 12/07 related to surgical wounds. Agencies are encouraged to provide consumers with further information on specific measures.

The Quality Improvement Organization (QIO) in Washington and Idaho is QUALIS Health. The QIO works with agencies to identify target outcomes from the OBQI report and create action plans to improve patient outcomes. The QIO also provides assistance to agencies to improve performance on the quality measures through educational sessions and dissemination of best practices gleaned from agencies across the nation.

Home Health Compare [www.cms.hhs.gov/HomeHealthQualityInits](http://www.cms.hhs.gov/HomeHealthQualityInits)  
 QIO in Washington – QUALIS Health  
 Carol Higgins phone: 206-364-9700x7247  
 e-mail: [carolh@qualishealth.org](mailto:carolh@qualishealth.org)

QIO in Idaho - QUALIS Health  
Barbara Sleeper

phone: 208-389-5026  
e-mail: barbaras@qualishealth.org

### **OASIS TRAINING**

CMS has to produce web-based OASIS training for new and seasoned staff conducting OASIS assessments. Training modules are:

OASIS Enhanced CD Version 2.2c  
OASIS Video Collection Version 3.0  
Orientation and Staff Development Guide

These are available on line and CD/DVDs are available on request. CMS has authorized multiple copying of the CD/DVDs for all staff. Included are videos/scenarios, a training checklist, staff development guides, OASIS test and answer key and an OASIS game. The training checklist identifies all the categories of the OASIS data and can be used as an educational tool to monitor staff review of all items.

Comprehensive Training for OASIS [www.oasistraining.org](http://www.oasistraining.org)

### **BILLING/REIMBURSEMENT**

Questions on billing and reimbursement for Home Health Agencies should be directed to your fiscal intermediary. CMS has provided some helpful references.

Scheduling OASIS Follow-up Assessments [www.qtso.com/hhdownload.html](http://www.qtso.com/hhdownload.html)  
OASIS Considerations for Medicare PPS Patients  
OASIS/PPS Payments  
CMS Coding and Billing Info [www.cms.hhs.gov/HomeHealthPPS](http://www.cms.hhs.gov/HomeHealthPPS)  
Regional Home Health Fiscal Intermediary  
United Government Services [www.ugsmedicare.com](http://www.ugsmedicare.com)  
Medical Review Liaison Nurse – Julia Buckley 806-367-0731

### **OASIS DOWNLOAD ON QTSO**

[www.qtso.com/hhdownload.html](http://www.qtso.com/hhdownload.html)

Home Health Agencies have another web source that posts OASIS information. The QIES Technical Support Office is maintained by IFMC (Iowa Foundation for Medical Care), the CMS contractor for the OASIS data system. Selected documents are available as listed below. The Error Message Guide should be copied and readily available for the staff transmitting OASIS data.

Data Submission Specs v. 1.60  
OASIS User's Manual (10/07)  
OASIS Management for Single Visits at SOC/ROC (11/07)  
Case Mix Grouper Software  
OASIS B-1 Data Set (10/16/07)  
OASIS Reference Sheet (03/28/07)  
OBQI/OBQM Reports by Branch  
OASIS Grouper Information for HAVEN 7.1  
Tally Template (12/06)

Clarification of Branch IDs (01/04)  
OASIS Web-based Training  
Duplicate Assessments (6/99)  
Follow-Up Assessment Calendar (2000-2008)  
Assessment Reference Sheet (05/02)  
Record Sequencing in HAVEN (06/99)  
OASIS Q and As (10/07)  
    Category 2  
    Comprehensive Assessment  
    Category 3  
Follow-Up Assessment  
Category 4  
OASIS Data Set: Forms and Items  
HHA System User s Guide  
Error Message and Description Guide 6/06  
    (Note revision page dated 11/06)