Title	S&C #	Date
Fiscal Year 2014		
Publication of Final Rule "Clinical Laboratory Improvement Amendments (CLIA) Program and Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule; Patients' Access to Test Reports"	11	02/07/14
Moratoria on Home Health Agency (HHA) Enrollment- Phase 2 - Metropolitan Areas of Fort Lauderdale, Detroit, Dallas and Houston and Extension of Moratoria on Metropolitan Areas of Miami and Chicago	10	02/04/14
Publication of NPRM for Emergency Preparedness – *Informational Only*	09	01/03/14
Focused Quality Assessment and Performance Improvement (F-QAPI) Surveys for Organ Transplant Programs – *Informational Only*	08	12/20/13
Hospital Equipment Maintenance Requirements	07	12/20/13
Emergency Medical Treatment and Labor Act (EMTALA) Requirements & Conflicting Payor Requirements or Collection Practices	06	12/13/13
Use of Direct for the Secure Transmission of Laboratory Test Results	05	11/08/13
Questions + Answers for State Recovery after the Federal Government Shutdown	04	10/25/13
Citations at F Tag 454 – 42 CFR §483.70 Physical Environment, §483.70(a) Life Safety from Fire	03	10/25/13
Contingency Plans – State Survey & Certification Activities in the Event of Federal Government Shutdown	02	10/01/13
Cardiopulmonary Resuscitation (CPR) in Nursing Homes	01	10/18/13
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Acquisitions of Providers/Suppliers with Rejection of Automatic Assignment of the Medicare Provider Agreement: Implications for Timing of Surveys and Participation Effective Date	60	09/06/13
Release of Clinical Laboratory Improvement Amendment's (CLIA) Brochure #11, CLIA Individualized Quality Control Plan Introduction	59	08/30/13
2000 Edition National Fire Protection Association (NFPA) 101® Life Safety Code (LSC) Waivers	58	08/30/13
Escrow and Independent Informal Dispute Resolution (Independent IDR) Process for Nursing Homes – Applicable to All Civil Money Penalties (CMPs)	57	08/30/13
Minimum Data Set (MDS) 3.0 Discharge Assessments that Have Not Been Completed and/or Submitted	56	08/23/13
August 13, 2013 Deadline - Installation of Automatic Sprinkler Systems in Nursing Homes (***Revised 12/20/13***)	55	08/16/13
Individualized Quality Control Plan (IQCP): A New Quality Control (QC) Option	54	08/16/13
Temporary Moratorium on Home Health Agency (HHA) Enrollment-Metropolitan Areas of Miami and Chicago	53	08/09/13
Approval of the Center for Improvement in Healthcare Quality's (CIHQ) Hospital Accreditation Program	52	08/09/13
Pilot-Test: Focused Quality Assessment and Performance Improvement (F-QAPI)	51	08/02/13

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Surveyor Technical Assistant for Renal Disease (STAR) automated End Stage Renal Disease (ESRD) survey program upgrade release to coordinate with the ESRD Core Survey process roll-out	**49**	07/26/13
Organ Procurement Organizations (OPO) Agreements with Hospitals	**48**	07/26/13
Compliance with the Life Safety Code (LSC) in End Stage Renal Disease (ESRD) Facilities	**47**	07/12/13
Office for Civil Rights (OCR) Clearance Process– Changes to State Survey Agency Responsibilities in Obtaining Information for Civil Rights Clearances for Initial Certifications and Changes of Ownership (CHOWs) (**Supersedes S&C 09-57**)	**46**	07/12/13
Provision of Inpatient Psychiatric Services to Individuals Under the Age of 21(Psych Under 21 Benefit) in Out-of-State Psychiatric Residential Treatment Facilities (PRTFs)	**45**	07/03/13
Public Release of the Five-Star Quality Rating System Three-Year Report	**44**	06/28/13
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Crosswalk Guide to the Organ Procurement and Transplant Network (OPTN) and the Centers for Medicare & Medicaid Services (CMS) Oversight of Organ Transplant Programs	10	02/01/13
Clarification of Interpretive Guidance at F Tag 441-Laundry and Infection Control	9	01/25/13

Expiration of the Long-Term Care Hospital (LTCH) Moratorium	8	01/18/13
Release of CLIA Brochure #10, What Do I Need to Do to Assess Personnel Competency?	7	12/21/12
Notice - Eighth Opportunity National Background Check Program Funding	6	12/14/12
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Patient Safety Initiative FY 2013 Pilot Phase – Revised Draft Surveyor Worksheets	3	11/09/12
Nursing Homes - Clarification of Guidance related to Medication Errors and Pharmacy Services	2	11/02/12
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Approval of the American Association for Accreditation of Ambulatory Surgery Facilities' (AAAASFs') Rural Health Clinic (RHC) Accreditation Program	33	05/25/12
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Environmental Scan of State Survey Agency Training Coordinators and	31	05/18/12

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Utilizing the US Bureau of the Census' (Census Bureau) American FactFinder Database when making a Rural Area Location Determination	27	04/20/12
Home Health Survey and Certification Activities Related to Program Safeguards: Deactivation	26	04/06/12
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INFORMATION: Reducing Avoidable Hospitalizations among Nursing Facility Residents; State Survey Agency Director Role in Applications	23	03/16/12
Healthcare Associated Infections (HAI) Prevention Program Environmental Scan of State Survey Agency Training Coordinators and State HAI Coordinators	22	03/16/12
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Federal Requirements for the Independent Informal Dispute Resolution (Independent IDR) Process for Nursing Homes - Interim Advance Guidance **Supersedes S&C 12-02-NH**	08	12/02/11

Clarification of Hospital Equipment Maintenance Requirements	07	12/02/11
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Updated Guidance on Medication Administration, Hospital Appendix A of the State Operations Manual (SOM)	05	11/18/11
Alert: Smoking Safety in Long Term Care Facilities	04	11/10/11
Initial Plans and Policy Implementation for Clinical and Laboratory Standards Institute (CLSI) Evaluation Protocol-23 (EP), 'Laboratory Quality Control Based on Risk Management', as Clinical Laboratory Improvement Amendment (CLIA) Quality Control (QC) Policy	03	11/04/11
Federal Requirements for the Independent Informal Dispute Resolution (Independent IDR) Process for Nursing Homes (**See S&C 12-08**)	02	10/14/11
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Clarification of § 482.61(b (3): Requirements for a Screening Neurological Examination and the Estimation of Intellectual Functioning, Memory Functioning and Orientation for Psychiatric Hospitals	25	04/29/11
New Initiative: Partnership for Patients: Better Care, Lower Costs	24	04/22/11
Notice - Third Opportunity National Background Check Program Funding	23	04/22/11
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April and July 2011 Changes to Nursing Home Compare - Nursing Homes	17	03/18/11
Publication of Final Rule "Civil Money Penalties for Nursing Homes Centers for Medicare & Medicaid Services (CMS)-2435-F"	16	03/18/11
Clarification of Reporting Mistreatment, Neglect and Abuse and Injuries of Unknown Source at 42 CFR § 483.420(d)(2) –Intermediate Care Facilities for the Mentally Retarded (ICFs/MR)	15	03/18/11
Clarification of Self-Administration of Medications at 42 CFR §483.460(k)(4) Intermediate Care Facilities for the Mentally Retarded (ICFs/MR)	14	03/18/11
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Clinical Laboratory Improvement Amendments of 1988 (CLIA)—CLIA Applicability for Laboratory Testing Associated with Blood, Cells/Tissue, and Organs	08	01/01/11
Interior Finish Documentation Requirements for Multiple Providers	07	12/17/10
Clarifications to the Ambulatory Surgical Center (ASC) Interpretive Guidelines -	06	12/17/10

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Hospital and Critical Access Hospital (CAH) Facility Life Safety Code (LSC) Occupancy Classification Update	05	12/17/10
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Advance Copy –Revisions to Appendix PP, State Operations Manual (SOM): Guidance to Surveyors for Long Term Care Facilities (LTC) for Minimum Data Set (MDS) 3.0 Implementation October 1, 2010	33	09/24/10
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Point of Care Devices and Infection Control in Nursing Homes	28	08/27/10
Advance Copy - Description of Temporary Changes to Appendix P, State Operations Manual (SOM), Traditional Survey Process for Long Term Care Facilities (LTC) as a Result of the Minimum Data Set (MDS) 3.0 Implementation October 1, 2010	27	07/30/10
42CFR 483.470(i)(2)(i) Evacuation Drills for Intermediate Care Facilities for the Mentally Retarded (ICFs/MR) Certified Under the Life Safety Code NFPA 101, 2000 Edition	26	07/23/10
Extension of Long-Term Care Hospital (LTCH) Moratorium	25	07/23/10
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Publication of Proposed Rule "Civil Money Penalties for Nursing Homes CMS-2435-P"	23	07/12/10
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Phasing Implementation of Life Safety Code (LSC) Surveys in End Stage Renal Disease (ESRD) Facilities for Calendar Year (CY) 2010	08	11/20/09
Consolidation of Personnel Policies for Individuals Directing or Performing Non-waived Tests under the Clinical Laboratory Improvement Amendments (CLIA)	07	11/06/09
2009-H1N1 Influenza Pandemic – Presidential Emergency Declaration and U.S. Department of Health and Human Services Section 1135 Waiver Authorization	06	11/06/09
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Appendix A: State PCT Certification Programs - States with Provisions or Requirements that Meet CMS Approval	03	10/30/09
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Intermediate Care Facilities for the Mentally Retarded (ICF/MR): Clarification of Protection of Client's Rights at 42 CFR §483.420(a)(4) - Ensure Right to Manage Financial Affairs	47	07/10/09
Release of Report "Evaluation of the Quality Indicator Survey (QIS)"	46	06/24/09
North Dakota and Minnesota Flooding Public Health Emergency and 1135 Waiver Termination	45	6/19/09
Use of Civil Money Penalty (CMP) Funds by States and Reporting of CMP Funds Returned to the State	44	06/19/09
American Recovery and Reinvestment Act of 2009 (Recovery Act) Ambulatory Surgical Center Healthcare-Associated Infection (ASC-HAI) Prevention Initiative	43	06/12/09
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Survey and Certification Issues Related to North Dakota Flooding Memo revised to include Minnesota under public health emergency declaration (Revised)	30	03/30/09
Food and Drug Administration (FDA) Warning to Nursing Homes Regarding Recalled Food Products Containing Peanuts	29	03/27/09
Publication of Proposed Regulations for Gynecologic Cytology Proficiency Testing (PT) under the Clinical Laboratory Improvement Amendments of 1988 (CLIA)	28	03/13/09
Updated Interim Guidance Regarding the Temporary Mid-Level Staffing Waiver for Rural Health Clinics (RHCs)	27	03/13/9
Inpatient Prospective Payment System (IPPS) 2009 Final Rule Revisions to Emergency Medical Treatment and Labor Act (EMTALA) Regulations	26	03/06/09
Enforcement of Amended Requirements for Certain Hospital and Critical Access Hospital (CAH) Disclosures to Patients	25	02/13/09
Implementation of the Life Safety Code (LSC) Component for the New End Stage Renal Disease (ESRD) Conditions for Coverage	24	02/11/09
Clarification of Policies and Procedures for the Certification of Entities with One Certificate for Multiple Sites Under the Clinical Laboratory Improvement Amendments (CLIA)	23	02/06/09
Nursing Homes - Issuance of Revised Quality of Care Guidance at F309, including Pain Management as Part of Appendix PP, State Operations Manual, Additional Minor Changes Made to Appendices P and PP as Described Below	22	01/23/09
2009 Physician Fee Schedule Changes Affecting the Survey & Certification of Rehabilitation Programs	21	01/16/09
Survey and Certification Issues Related to Liability Notices and Beneficiary Appeal Rights in Nursing Homes	20	01/09/09
Advance Copy – Hospice Program Interpretive Guidance Version 1.1	19	01/02/09
The Five-Star Nursing Home Rating System – Questions and Answers	18	12/19/08
Nursing Homes – Launching of Five Star Rating System on the Nursing Home Compare Website	17	12/05/08
Additional Revision of Publication 100-07, State Operations Manual (SOM) - Federally Qualified Health Centers (FQHCs)	16	11/26/08
New Critical Access Hospital (CAH) requirements under 42 CFR 485.610(e) related to CAH Co-location and CAH provider-based locations.	15	11/21/08
Interim Guidance Regarding the Survey and Certification of Rural Health Clinics (RHCs) and Federally Qualified Health Centers (FQHCs)	14	11/21/08
Waivers and Phase-In Time Extensions for the Implementation of the New End Stage Renal Disease (ESRD) Conditions for Coverage	13	11/21/08
Update of State Operations Manual (SOM) Chapter 5/Release of Person-Identifiable Data Related to Restraint/Seclusion Deaths to Protection and Advocacy Organizations	12	11/07/08

Release of Report: "Freedom from Unnecessary Physical Restraints: Two Decades of National Progress in Nursing Home Care"	11	11/07/08
"Standing Orders" in Hospitals – Revisions to S&C Memoranda	10	10/24/08
Advance Copy - Organ Transplant Program State Operations Manual (SOM) and Survey Protocol	09	10/24/08
Accreditation and its Impact on Various Survey and Certification Scenarios	08	10/17/08
New Web Feature: Laboratories Demographic Look-Up	07	10/17/08
Revised Clinical Laboratory Improvement Amendments of 1988 (CLIA) Policy on Quality Control for Commercially Available Microbial Identification Systems (MIS)	06	10/17/08
Special Focus Facility (SFF) Program Survey Scoring Methodology	05	10/10/08
Adoption of New Fire Safety Requirements for Long Term Care Facilities, Mandatory Sprinkler Installation Requirement	04	10/03/08
Enforcement of Section 506, Medicare Prescription Drug Improvement and Modernization Act of 2003 (MMA), Acceptance of Medicare-like Rates	03	10/03/08
Approval of Deeming Authority of Det Norske Veritas Healthcare, Inc. for Hospitals	02	10/03/08
Advance Copy – End Stage Renal Disease (ESRD) Program Interpretive Guidance Version 1.1	01	10/03/08
Fiscal Year 2008		
Impact of Nursing Shortage on Hospice	37	09/19/08
Survey and Certification Issues Related to Hurricane Ike (**Revised 9/15/08**)	36	09/15/08
Drug or Alcohol Screening/Testing and Clinical Laboratory Improvement Amendments (CLIA) Certification	35	09/05/08
Compliance With State Fire and Safety Code in Lieu of Life Safety Code	34	09/05/08
Survey and Certification Issues Related to Hurricane Gustav	33	09/02/08
State Survey Agency (SA) Selection of Special Focus Facilities (SFF) for Technical Assistance by Quality Improvement Organizations (QIOs) - New 9th Scope of Work Item– Nursing Homes in Need (NHIN) Task	32	08/15/08
Draft Interpretive Guidance for the Survey Process of the New End Stage Renal Disease (ESRD) Conditions for Coverage, published April 15, 2008, in the Federal Register.	31	08/08/08
2008 Physician Fee Schedule Changes Affecting Survey & Certification	30	08/01/08
Revision of Publication 100-07, State Operations Manual (SOM) - Federally Qualified Health Centers (FQHCs)	29	08/01/08
Nursing Homes - Issuance of Revised Nutrition and Sanitary Conditions (Tags F325 and F371) as Part of Appendix PP, State Operations Manual, and Training Materials	28	06/20/08
Survey and Certification Issues Related to Iowa and Indiana Flooding Disasters	27	06/17/08
Moratorium on Classification of Long-Term Care Hospitals	26	06/13/08
Advance Copy - Organ Transplant Program Interpretive Guidelines	25	06/13/08
DMEPOS Competitive Bidding Replaces Current Fee Schedule Amounts for Selected Items in Some Areas (**Deleted**)	24	06/06/08

Update of State Operations Manual (SOM) Chapter 5/Release of Person-Identifiable Data Related to Restraint/Seclusion Deaths to Protection and Advocacy Organizations	23	05/30/08
Signature Stamps for Home Health Agencies and Hospices	22	05/30/08
Updated Brochure Describing the Quality Indicator Survey (QIS)	21	05/16/08
Safe Injection Practices in Ambulatory Surgery Centers (ASC)	20	05/16/08
Alert: Food and Drug Administration (FDA) Heparin Recall For All Provider Types	19	05/0908
Hospitals – Restraint/Seclusion Interpretive Guidelines & Updated State Operations Manual (SOM) Appendix A	18	04/11/08
Transplant Surveys: Guidance for Citing Condition and Standard-Level Deficiencies For Certain Regulatory Requirements and Allowing Additional Time to Correct the Deficiency	17	04/04/08
Provision of Observation Services in Critical Access Hospitals (CAHs)	16	04/04/08
Hospitals – Revised State Operations Manual Appendix V - EMTALA	15	03/21/08
Federal Minimum Qualification Standards for Long Term Care (LTC) Registered Quality Indicator Survey (QIS) Surveyors and CMS-Certified QIS Trainers for States Implementing the QIS Process	14	03/21/08
Initial Surveys of Rural Health Clinics (RHCs) and Skilled Nursing Facilities (SNFs) - Raised to Tier 3 Priority - And Continued Encouragement for Dialysis Facility Priority Within Tier 3	13	03/07/08
Hospitals – Revised Interpretive Guidelines for Hospital Condition of Participation: Requirements for History and Physical Examination; Authentication of Verbal Orders; Securing Medications; and Post-anesthesia Evaluations Final Rule	12	02/08/08
Accreditation Option for Critical Access Hospital (CAH) Distinct Part Units	11	02/08/08
Use of Interpretive Guidance by Surveyors for Long Term Care Facilities	10	01/18/08
Nursing Homes: Surveying Facilities That Receive Food Prepared By Off-site Kitchens	09	01/11/08
Requirements for Provider-Based Off-Campus Emergency Departments and Hospitals That Specialize In The Provision of Emergency Services	08	01/11/08
Enforcement of Requirements for Certain Hospital and Critical Access Hospital (CAH) Disclosures to Patients	07	12/14/07
Revisions to State Operations Manual (SOM) Exhibits	06	12/07/07
Waiver of Emergency Medical Treatment and Active Labor Act (EMTALA) Sanctions in Hospitals Located in Areas Covered by a Public Health Emergency (Revised)	05	12/07/07
Revisions to the Hospital Interpretive Guidelines for Infection Control	04	11/21/07
Initial Surveys for New Medicare Providers	03	11/05/07
National Special Focus Facility Program Improvements for Nursing Facilities, Notice Requirements (Revised 12/07/07)	02	11/02/07
Public Health Emergency Frequently Asked Questions - All Hazards Survey & Certification Emergency Preparedness Initiative: Provider Survey &	01	10/12/07
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Nursing Homes – Medication Pass Clarification for Surveying F Tags 332 and 333	39	09/28/07

During Nursing Home Surveys		
Pre-Admission Screening and Resident Review (PASRR) and the Nursing Home Survey Process	38	09/28/07
Hospital and Laboratory Verbal Order Authentication Requirements Guidance	37	09/14/07
Release of Report "Study of Paid Feeding Assistant Programs"	36	09/14/07
Critical Access Hospitals (CAHs): Distance from Other Providers and Relocation of CAHs with a Necessary Provider Designation	35	09/07/07
Survey Guidance for a New Home Hemodialysis Water Treatment Device, the "NxStage PureFlow™ SL Water Purification System (PureFlow™)"	34	08/24/07
Continuation and Revision of the Components of the Clinical Laboratory Improvement Amendments (CLIA) Educational Period Regarding Certain Quality Control (QC) Requirements. (Refer to S&C-03-30, S&C-04-16, S&C-05-39)--- ACTION REQUIRED	33	08/24/07
Clarification and Standardization of Clinical Laboratory Improvement Amendments (CLIA) Complaint Closeouts to Complainants	32	08/24/07
New Clinical Laboratory Improvement Amendments of 1988 (CLIA) Loss of Accreditation (LoA) Procedures	31	08/24/07
Issuance of New Tag F373 (Paid Feeding Assistants) as Part of Appendix PP, State Operations Manual (**Revised**)	30	08/10/07
Life Safety Code – Canopy and Overhang Sprinkler Requirements and the Use of the Fire Safety Evaluation System (FSES)	29	07/13/07
Requirement to Provide Medicare Beneficiaries Notice of Their Rights, Including Discharge Appeal (**Revised 7/25/07**)	28	07/13/07
Emergency Medical Screening in Critical Access Hospitals (CAHs)	27	07/13/07
Communications between the State Survey Agency and the State Long Term Care Ombudsman	26	07/06/07
Issuance of Revised Guidance for Nursing Homes: Accidents and Supervision	25	07/06/07
Utilization Review Condition of Participation for Hospitals	24	06/22/07
Emergency Medical Treatment and Labor Act (EMTALA) On-call Requirements and Remote Consultations Utilizing Telecommunications Media	23	06/22/07
Clarification of Terms Used in the Definition of Physical Restraints as Applied to the Requirements for Long Term Care Facilities	22	06/22/07
Generators in Ambulatory Surgery Centers (ASCs)	21	05/04/07
EMTALA Issues in Emergency Transport Services	20	04/27/07
Provision of Emergency Services – Important Requirements for Hospitals	19	04/26/07
Permitted Gaps in Corridor Doors and in Smoke Barriers – Multiple Providers	18	04/20/07
Revision to the Hospital Interpretive Guidelines on Informed Consent	17	04/13/07
Clarification of Provider Number Nomenclature	16	03/02/07
Psychiatric Residential Treatment Facility (PRTF) Clarification (**Revised 7/25/07**)	15	02/16/07
Electronic Signature Guidance in Inpatient Rehabilitation Facilities (IRF)	14	01/26/07
Hospitals – Publication of the Hospital Condition of Participation: Requirements for History and Physical Examinations; Authentication of Verbal Orders; Securing	13	01/26/07

Medications; and Post-anesthesia Evaluations Final Rule (Revised)		
New and Revised CLIA Policies and Procedures	12	01/12/07
Use of Alcohol-based Skin Preparations in Anesthetizing Locations	11	01/12/07
Medical Gas Storage Considerations	10	01/12/07
State Solicitation for QIS Implementation Participation	09	12/21/06
CMS Form 1856 Occupational Therapy Services	08	12/21/06
Nursing Home Cultural Change Regulatory Compliance Questions and Answers	07	12/21/06
Hours of Operation for Rural Health Clinics (RHC)	06	12/07/06
Life Safety Code - Exit Discharge Requirements and the Fire Safety Evaluation System (FSES)	05	12/07/06
SA Responsibilities for 2007 Regarding Cytology PT under CLIA and Additional Program Information	04	12/07/06
Resident Assessment Instrument (RAI) Minimum Data Set (MDS) 2.0 Web-based Training (WBT)	03	12/07/06
Clinical Laboratory Improvement Amendments of 1988 (CLIA) Additional Topics Concerning Proficiency Testing (PT) Policies – Action	02	11/17/06
New Fire Safety Requirements for the Use of Alcohol Based Hand Rubs (ABHRs) and Installation of Battery Powered Smoke Alarms	01	11/01/06