

ANNUAL SYNAR REPORT

42 U.S.C. 300x-26

OMB № 0930-0222

FFY 2013

State: Idaho

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INTRODUCTION

The Annual Synar Report (ASR) format provides the means for States to comply with the reporting provisions of the Public Health Service Act (42 U.S.C. 300x-26) and the Tobacco Regulation for the SAPT Block Grant (45 C.F.R. 96.130 (e)).

Public reporting burden for the collection of information is estimated to average 15 hours for Section I and 3 hours for Section II, including the time for reviewing instructions, completing and reviewing the collection of information, searching existing data sources, and gathering and maintaining the data needed. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to SAMHSA Reports Clearance Officer; Paperwork Reduction Project; 1 Choke Cherry Road, 7th Floor Rockville, Maryland 20857.

An agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-0222 with an expiration date of 05-31-2013.

How the Synar report helps the Center for Substance Abuse Prevention

In accordance with the tobacco regulations, States are required to provide detailed information on progress made in enforcing youth tobacco access laws (FFY 2012 Compliance Progress) and future plans to ensure compliance with the Synar requirements to reduce youth tobacco access rates (FFY 2013 Intended Use Plan). These data are required by 42 U.S.C. 300x-26 and will be used by the Secretary to evaluate State compliance with the statute. Part of the mission of the Center for Substance Abuse Prevention (CSAP) is to assist States¹ by supporting Synar activities and providing technical assistance helpful in determining the type of enforcement measures and control strategies that are most effective. This information is helpful to CSAP in improving technical assistance resources and expertise on enforcement efforts and tobacco control program support activities, including State Synar Program support services, through an enhanced technical assistance program involving conferences and workshops, development of training materials and guidance documents, and onsite technical assistance consultation.

How the Synar report can help States

The information gathered for the Synar report can help States describe and analyze substate needs for program enhancements. These data can also be used to report to the State legislature and other State and local organizations on progress made to date in enforcing youth tobacco access laws when aggregated statistical data from State Synar reports can demonstrate to the Secretary the national progress in reducing youth tobacco access problems. This information will also provide Congress with a better understanding of State progress in implementing Synar, including State difficulties and successes in enforcing retailer compliance with youth tobacco access laws.

¹The term "State" is used to refer to all the States and territories required to comply with Synar as part of the Substance Abuse Prevention and Treatment Block Grant Program requirements (42 U.S.C. 300x-64 and 45 C.F.R. 96.121).

Getting assistance in completing the Synar report

If you have questions about programmatic issues, you may call CSAP's Division of State Programs at (240) 276-2413 and ask for your respective State Project Officer, or contact your State Project Officer directly by telephone or email. If you have questions about fiscal or grants management issues, you may call the Grants Management Officer, Office of Program Services, Division of Grants Management, at (240) 276-1422.

Where and when to submit the Synar report

The Annual Synar Report (ASR) must be received by SAMHSA no later than December 31, 2012. The ASR must be submitted in the **approved OMB report format**. Use of the approved format will avoid delays in the review and approval process. The chief executive officer (or an authorized designee) of the applicant organization must sign page 1 of the ASR certifying that the State has complied with all reporting requirements.

The State must upload one copy of the ASR using the online WebBGAS (Block Grant Application System). In addition, the following items must be uploaded to WebBGAS:

- FFY 2013 Synar Survey Results: States that use the Synar Survey Estimation System (SSES) must upload one copy of SSES Tables 1–5 (in Excel) to WebBGAS. States that do not use SSES must upload one copy of ASR Forms 1, 4, and 5, and Forms 2 and 3, if applicable, (in Excel) to WebBGAS.
- Synar Inspection Form: States must upload one blank copy of the inspection form used to record the result of each Synar inspection.
- Synar Inspection Protocol: States must upload a copy of the protocol used to train inspection teams on conducting and reporting the results of the Synar inspections.

Each State SSA Director has been emailed a login ID and password to log onto the Synar section of the WebBGAS site.

Additionally, the State must submit one signed original of the report (including the signed Funding Agreements/Certifications), as well as one additional copy of the signed Funding Agreements/Certifications, to the Grants Management Officer at the address below:

Grants Management Officer
Office of Program Services
Division of Grants Management
Substance Abuse and Mental Health Services Administration

Regular Mail:

1 Choke Cherry Road, Rm.7-1091
Rockville, Maryland 20857

Overnight Mail:

1 Choke Cherry Road, Rm.7-1091
Rockville, Maryland 20850

FFY 2013: FUNDING AGREEMENTS/CERTIFICATIONS

The following form must be signed by the Chief Executive Officer or an authorized designee and submitted with this application. Documentation authorizing a designee must be attached to the application.

PUBLIC HEALTH SERVICES ACT AND SYNAR AMENDMENT	
42 U.S.C. 300x-26 requires each State to submit an annual report of its progress in meeting the requirements of the Synar Amendment and its implementing regulation (45 C.F.R. 96.130) to the Secretary of the Department of Health and Human Services. By signing below, the chief executive officer (or an authorized designee) of the applicant organization certifies that the State has complied with these reporting requirements and the certifications as set forth below.	
SYNAR SURVEY SAMPLING METHODOLOGY	
The State certifies that the Synar survey sampling methodology on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2013 is up-to-date and approved by the Center for Substance Abuse Prevention.	
SYNAR SURVEY INSPECTION PROTOCOL	
The State certifies that the Synar Survey Inspection Protocol on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2013 is up-to-date and approved by the Center for Substance Abuse Prevention.	
State: Idaho	
Name of Chief Executive Officer or Designee: Richard M. Armstrong	
Signature of CEO or Designee:	
Title: Director, Department of Health and Welfare	Date Signed:
If signed by a designee, a copy of the designation must be attached.	

SECTION I: FFY 2012 (Compliance Progress)

YOUTH ACCESS LAWS, ACTIVITIES, AND ENFORCEMENT

42 U.S.C. 300x-26 requires the States to report information regarding the sale/distribution of tobacco products to individuals under age 18.

1. Please indicate any changes or additions to the State tobacco statute(s) relating to youth access since the last reporting year. If any changes were made to the State law(s) since the last reporting year, please attach a photocopy of the law to the hard copy of the ASR and also upload a copy of the State law to WebBGAS. (see 42 U.S.C. 300x-26).

a. Has there been a change in the *minimum sale age* for tobacco products?

Yes No

If Yes, current minimum age: 19 20 21

b. Have there been any changes in State law that impact the State's *protocol for conducting Synar inspections*? Yes No

If Yes, indicate change. (Check all that apply.)

- Changed to require that law enforcement conduct inspections of tobacco outlets
 Changed to make it illegal for youth to possess, purchase or receive tobacco
 Changed to require ID to purchase tobacco
 Other change(s) (Please describe.) _____

c. Have there been any changes in the law concerning *vending machines*?

Yes No

If Yes, indicate change. (Check all that apply.)

- Total ban enacted
 Banned from location(s) accessible to youth
 Locking device or supervision required
 Other change(s) (Please describe.) _____

d. Have there been any changes in State law that impact the following?

Licensing of tobacco vendors Yes No

Penalties for sales to minors Yes No

2. Describe how the Annual Synar Report (see 45 C.F.R. 96.130(e)) and the State Plan (see 42 U.S.C. 300x-51) were made public within the State prior to submission of the ASR. (Check all that apply.)

Placed on file for public review

Posted on a State agency Web site (Please provide exact Web address and the date when the FFY 2013 ASR was posted to this Web address.) www.preventthesale.com

- Notice published in a newspaper or newsletter
- Public hearing
- Announced in a news release, a press conference, or discussed in a media interview
- Distributed for review as part of the SAPT Block Grant application process
- Distributed through the public library system
- Published in an annual register
- Other (Please describe.) _____

3. Identify the following agency or agencies (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).

a. The State agency(ies) designated by the Governor for oversight of the Synar requirements:

Idaho Department of Health and Welfare

Has this changed since last year's Annual Synar Report? Yes No

b. The State agency(ies) responsible for conducting random, unannounced Synar inspections:

Idaho Department of Health and Welfare

Has this changed since last year's Annual Synar Report? Yes No

c. The State agency(ies) responsible for enforcing youth tobacco access law(s):

Idaho Department of Health and Welfare

Has this changed since last year's Annual Synar Report? Yes No

4. Identify the State agency responsible for tobacco prevention activities (the agency that receives the Centers for Disease Control and Prevention's National Tobacco Control Program funding).

Idaho Department of Health and Welfare

Has the responsible agency changed since last year's Annual Synar Report?

Yes No

a. Describe the coordination and collaboration that occur between the agency responsible for tobacco prevention and the agency responsible for oversight of the Synar requirements. (Check all that apply.) The two agencies

Are the same

Have a formal written memorandum of agreement

Have an informal partnership

Conduct joint planning activities

Combine resources

Have other collaborative arrangement(s) (Please describe.) _____

5. Please answer the following questions regarding the State's activities to enforce the youth access to tobacco law(s) in FFY 2012 (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130(e)).

a. Which one of the following describes the enforcement of youth access to tobacco laws carried out in your State? (Check one category only.)

- Enforcement is conducted exclusively by local law enforcement agencies.
- Enforcement is conducted exclusively by State agency(ies).
- Enforcement is conducted by both local *and* State agencies.

b. The following items concern penalties imposed for violations of youth access to tobacco laws by LOCAL AND/OR STATE LAW ENFORCEMENT AGENCIES. Please fill in the number requested. If State law does not allow for an item, please mark "NA" (not applicable). If a response for an item is unknown, please mark "UNK." The chart must be filled in completely.

PENALTY	OWNERS	CLERKS	TOTAL
Number of citations issued	121	115	236
Number of fines assessed	32	115	~ 147
Number of permits/licenses suspended	2		2
Number of permits/licenses revoked	0		0
Other (Please describe.)	N/A	N/A	N/A

c. Which one of the following best describes the level of enforcement of youth access to tobacco laws carried out in your State? (Check one category only.)

- Enforcement is conducted only at those outlets randomly selected for the Synar survey.
- Enforcement is conducted only at a subset of outlets not randomly selected for the Synar survey.
- Enforcement is conducted at a combination of outlets randomly selected for the Synar survey and outlets not randomly selected for the Synar survey.

d. Did every tobacco outlet in the State receive at least one enforcement compliance check in the last year?

- Yes
- No

e. What additional activities are conducted in your State to support enforcement and compliance with State tobacco access law(s)? (Check all that apply.)

- Merchant education and/or training
- Incentives for merchants who are in compliance (e.g., nonenforcement compliance checks in which compliant retailers are given positive reinforcement and noncompliant retailers are warned about youth access laws)

- Community education regarding youth access laws
- Media use to publicize compliance inspection results
- Community mobilization to increase support for retailer compliance with youth access laws
- Other activities *(Please list.)* _____

Briefly describe all checked activities:

The state provides a website describing the requirements and prohibitions found in state law. Additionally, a monthly newsletter aimed at promoting compliance is distributed to all permitted outlets and point of sale and employee education resources are provided to permittees.

- f. Are citations or warnings issued to retailers or clerks who sell tobacco to minors for inspections that are part of the Synar survey?** Yes No

If "Yes" to 5f, please describe the State's procedure for minimizing risk of bias to the survey results from retailers alerting each other to the presence of the survey teams:

The state conducts inspections throughout the year and citations are issued to clerks each time a sale occurs. The Synar inspections represent a subset of all inspections performed in a given year. The issuance of citations of sales is the standard operating procedure.

- g. Please describe the relationship between the State's Synar program and the Food and Drug Administration-funded enforcement program:**

The Department submitted a response to the 2010 FDA RFP for tobacco enforcement and was awarded a contract to conduct FDA tobacco inspections September 2010. That contract expired November 2011. The Department submitted a response to the 2012 FDA RFP for tobacco enforcement, and was awarded a contract effective Oct 1, 2012 to Sept 30, 2012.

SYNAR SURVEY METHODS AND RESULTS

The following questions pertain to the survey methodology and results of the Synar survey used by the State to meet the requirements of the Synar Regulation in FFY 2012 (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).

- 6. Has the sampling methodology changed from the previous year?** Yes No

The State is required to have an approved up-to-date description of the Synar sampling methodology on file with CSAP. Please submit a copy of your Synar Survey Sampling Methodology (Appendix B). If the sampling methodology changed from the previous reporting year, these changes must be reflected in the methodology submitted.

- 7. Please answer the following questions regarding the State's annual random, unannounced inspections of tobacco outlets** *(see 45 C.F.R. 96.130(d)(2)).*

- a. Did the State use the optional Synar Survey Estimation System (SSES) to analyze the Synar survey data? Yes No

If Yes, attach SSES summary tables 1, 2, 3, and 4 to the hard copy of the ASR and upload a copy of SSES tables 1–5 (in Excel) to WebBGAS. Then go to Question 8. If No, continue to Question 7b.

- b. Report the weighted and unweighted Retailer Violation Rate (RVR) estimates, the standard error, accuracy rate (number of eligible outlets divided by the total number of sampled outlets), and completion rate (number of eligible outlets inspected divided by the total number of eligible outlets).

Unweighted RVR _____

Weighted RVR _____

Standard error (s.e.) of the (weighted) RVR _____

Fill in the blanks to calculate the right limit of the right-sided 95% confidence interval.

$$\underline{\hspace{2cm}} + (1.645 \times \underline{\hspace{2cm}}) = \underline{\hspace{2cm}}$$

RVR Estimate plus (1.645 times Standard Error) equals Right Limit

Accuracy rate _____

Completion rate _____

- c. Fill out Form 1 in Appendix A (Forms1–5). (Required regardless of the sample design.)

- d. How were the (weighted) RVR estimate and its standard error obtained? (Check the one that applies.)

Form 2 (Optional) in Appendix A (Forms 1–5) (Attach completed Form 2.)

Other (Please specify. Provide formulas and calculations or attach and explain the program code and output with description of all variable names.)

- e. If stratification was used, did any strata in the sample contain only one outlet or cluster this year? Yes No No stratification

If Yes, explain how this situation was dealt with in variance estimation.

- f. Was a cluster sample design used? Yes No

If Yes, fill out and attach Form 3 in Appendix A (Forms 1–5), and answer the following question.

If No, go to Question 7g.

Were any certainty primary sampling units selected this year? Yes No

If Yes, explain how the certainty clusters were dealt with in variance estimation.

--

g. Report the following outlet sample sizes for the Synar survey.

	Sample Size
Effective sample size (sample size needed to meet the SAMHSA precision requirement assuming simple random sampling)	
Target sample size (the product of the effective sample size and the design effect)	
Original sample size (inflated sample size of the target sample to counter the sample attrition due to ineligibility and noncompletion)	
Eligible sample size (number of outlets found to be eligible in the sample)	
Final sample size (number of eligible outlets in the sample for which an inspection was completed)	

h. Fill out Form 4 in Appendix A (Forms 1–5).

8. Did the State’s Synar survey use a list frame? Yes No

If Yes, answer the following questions about its coverage.

a. The calendar year of the latest frame coverage study: 2010

b. Percent coverage from the latest frame coverage study: 96.7%

c. Was a new study conducted in this reporting period? Yes No

If Yes, please complete Appendix D (List Sampling Frame Coverage Study) and submit it with the Annual Synar Report.

d. The calendar year of the next coverage study planned: 2013

9. Has the Synar survey inspection protocol changed from the previous year?

Yes No

The State is required to have an approved up-to-date description of the Synar inspection protocol on file with CSAP. Please submit a copy of your Synar Survey Inspection Protocol (Appendix C). If the inspection protocol changed from the previous year, these changes must be reflected in the protocol submitted.

a. Provide the inspection period: From 02/18/2012 to 09/22/2012
MM/DD/YY MM/DD/YY

b. Provide the number of youth inspectors used in the current inspection year:

13

NOTE: If the State uses SSES, please ensure that the number reported in 9b matches that reported in SSES Table 4, or explain any difference.

b. **Fill out and attach Form 5 in Appendix A (Forms 1–5).** *(Not required if the State used SSES to analyze the Synar survey data.)*

SECTION II: FFY 2013 (Intended Use):

Public law 42 U.S.C. 300x-26 of the Public Health Service Act and 45 C.F.R. 96.130 (e) (4, 5) require that the States provide information on future plans to ensure compliance with the Synar requirements to reduce youth tobacco access.

1. In the upcoming year, does the State anticipate any changes in:

- Synar sampling methodology Yes No
Synar inspection protocol Yes No

If changes are made in either the Synar sampling methodology or the Synar inspection protocol, the State is required to obtain approval from CSAP prior to implementation of the change and file an updated Synar Survey Sampling Methodology (Appendix B) or an updated Synar Survey Inspection Protocol (Appendix C), as appropriate.

2. Please describe the State’s plans to maintain and/or reduce the target rate for Synar inspections to be completed in FFY 2013. Include a brief description of plans for law enforcement efforts to enforce youth tobacco access laws, activities that support law enforcement efforts to enforce youth tobacco access laws, and any anticipated changes in youth tobacco access legislation or regulation in the State.

Law Enforcement:
The State will continue to conduct inspections of tobacco retailers throughout the year in compliance with state law 39-5701 et seq.

Retailer Education:
In conjunction with its existing monthly Tobacco Retailer News Letter and education website the state will be producing and distributing a new retailer education package that target business owners and point-of-sale employees.

Support of Enforcement and Youth Tobacco Access Laws:
No activities in this area planned.

Changes in Youth Tobacco Access Legislation:
No activities in this area are planned.

3. Describe any challenges the State faces in complying with the Synar regulation. *(Check all that apply.)*

- Limited resources for law enforcement of youth access laws

- Limited resources for activities to support enforcement and compliance with youth tobacco access laws
- Limitations in the State youth tobacco access laws
- Limited public support for enforcement of youth tobacco access laws
- Limitations on completeness/accuracy of list of tobacco outlets
- Limited expertise in survey methodology
- Laws/regulations limiting the use of minors in tobacco inspections
- Difficulties recruiting youth inspectors
- Geographic, demographic, and logistical considerations in conducting inspections
- Cultural factors (e.g., language barriers, young people purchasing for their elders)
- Issues regarding sources of tobacco under tribal jurisdiction
- Other challenges (*Please list.*) _____

Briefly describe all checked challenges and propose a plan for each, or indicate the State's need for technical assistance related to each relevant challenge.

Geographic and logistical considerations - Idaho is a large, extremely mountainous state. It is costly to inspect remote areas. This adds to the cost of ensuring compliance. The state will continue to evaluate State Code and Synar requirements to identify opportunities for efficiency.

APPENDIX A: FORMS 1–5

FORM 1 (Required for all States not using the Synar Survey Estimation System (SSES) to analyze the Synar Survey data)

Complete Form 1 to report sampling frame and sample information and to calculate the unweighted retailer violation rate (RVR) using results from the current year's Synar survey inspections.

Instructions for Completing Form 1: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2013). Provide the remaining information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

Column 1: *If stratification was used:*

1(a) Sequentially number each row.

1(b) Write in the name of each stratum. All strata in the State must be listed.

If no stratification was used:

1(a) Leave blank.

1(b) Write "State" in the first row (indicates that the whole State is a single stratum).

Note for unstratified samples: For Columns 2–5, wherever the instruction refers to "each stratum," report the specified information for the State as a whole.

Column 2: 2(a) Report the number of over-the-counter (OTC) outlets in the sampling frame in each stratum.

2(b) Report the number of vending machine (VM) outlets in the sampling frame in each stratum.

2(c) Report the combined total of OTC and VM outlets in the sampling frame in each stratum.

Column 3: 3(a) Report the estimated number of eligible OTC outlets in the OTC outlet population in each stratum.

3(b) Report the estimated number of eligible VM outlets in the VM outlet population in each stratum.

3(c) Report the combined total estimated number of eligible OTC and VM outlets in the total outlet population in each stratum.

The estimates for Column 3 can be obtained from the Synar survey sample as the weighted sum of eligible outlets by outlet type.

Column 4: 4(a) Report the number of eligible OTC outlets for which an inspection was completed, for each stratum.

4(b) Report the numbers of eligible VM outlets for which an inspection was completed, for each stratum.

4(c) Report the combined total of eligible OTC and VM outlets for which an inspection was completed, for each stratum.

Column 5: 5(a) Report the number of OTC outlets found in violation of the law as a result of completed inspections, for each stratum.

5(b) Report the number of VM outlets found in violation of the law as a result of completed inspections, for each stratum.

5(c) Report the combined total of OTC and VM outlets found in violation of the law as a result of completed inspections, for each stratum.

Totals: For each subcolumn (a–c) in Columns 2–5, provide totals for the State as a whole in the last row of the table. These numbers will be the sum of the numbers in each row for the respective column.

FORM 2 (Optional)

Appropriate for stratified simple or systematic random sampling designs.

Complete Form 2 to calculate the weighted RVR. This table (in Excel form) is designed to calculate the weighted RVR for stratified simple or systematic random sampling designs, accounting for ineligible outlets and noncomplete inspections encountered during the annual Synar survey.

Instructions for Completing Form 2: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2013).

- Column 1: Write in the name of each stratum into which the sample was divided. These should match the strata reported in Column 1(b) of Form 1.
- Column 2: Report the number of outlets in the sampling frame in each stratum. These numbers should match the numbers reported for the respective strata in Column 2(c) of Form 1.
- Column 3: Report the original sample size (the number of outlets originally selected, *including* substitutes or replacements) for each stratum.
- Column 4: Report the number of sample outlets in each stratum that were found to be eligible during the inspections. Note that this number must be less than or equal to the number reported in Column 3 for the respective strata.
- Column 5: Report the number of eligible outlets in each stratum for which an inspection was completed. Note that this number must be less than or equal to the number reported in Column 4. These numbers should match the numbers reported in Column 4(c) of Form 1 for the respective strata.
- Column 6: Report the number of eligible outlets inspected in each stratum that were found in violation. These numbers should match the numbers reported in Column 5(c) of Form 1 for the stratum.
- Column 7: Form 2 (in Excel form) will automatically calculate the stratum RVR for each stratum in this column. This is calculated by dividing the number of inspected eligible outlets found in violation (Column 6) by the number of inspected eligible outlets (Column 5). The State unweighted RVR will be shown in the Total row of Column 7.
- Column 8: Form 2 (in Excel form) will automatically calculate the estimated number of eligible outlets in the population for each stratum. This calculation is made by multiplying the number of outlets in the sampling frame (Column 2) times the number of eligible outlets (Column 4) divided by the original sample size (Column 3). Note that these numbers will be less than or equal to the numbers in Column 2.
- Column 9: Form 2 (in Excel form) will automatically calculate the relative stratum weight by dividing the estimated number of eligible outlets in the population for each stratum in Column 8 by the Total of the values in Column 8.
- Column 10: Form 2 (in Excel form) will automatically calculate each stratum's contribution to the State weighted RVR by multiplying the stratum RVR (Column 7) by the relative stratum weight (Column 9). The weighted RVR for the State will be shown in the Total row of Column 10.
- Column 11: Form 2 (in Excel form) automatically calculates the standard error of each stratum's RVR (Column 7). The standard error for the State weighted RVR will be shown in the Total row of Column 11.
- TOTAL: For Columns 2–6, Form 2 (in Excel form) provides totals for the State as a whole in the last row of the table. For Columns 7–11, it calculates the respective statistic for the State as a whole.

FORM 3 (Required when a cluster design is used for all States not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data.)

Complete Form 3 to report information about primary sampling units when a cluster design was used for the Synar survey.

Instructions for Completing Form 3: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2013).

Provide information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

Column 1: Sequentially number each row.

Column 2: *If stratification was used:* Write in the name of stratum. All strata in the State must be listed.

If no stratification was used: Write “State” in the first row to indicate that the whole State constitutes a single stratum.

Column 3: Report the number of primary sampling units (PSUs) (i.e., first-stage clusters) created for each stratum.

Column 4: Report the number of PSUs selected in the original sample for each stratum.

Column 5: Report the number of PSUs in the final sample for each stratum.

TOTALS: For Columns 3–5, provide totals for the State as a whole in the last row of the table.

Summary of Clusters Created and Sampled				
				State: _____
				FFY: 2013
(1) Row #	(2) Stratum Name	(3) Number of PSUs Created	(4) Number of PSUs Selected	(5) Number of PSUs in the Final Sample
Total				

FORM 4 (Required for all States not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data)

Complete Form 4 to provide detailed tallies of ineligible sample outlets by reasons for ineligibility and detailed tallies of eligible sample outlets with noncomplete inspections by reasons for noncompletion.

Instructions for Completing Form 4: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2013).

Column 1(a): Enter the number of sample outlets found ineligible for inspection by reason for ineligibility. Provide the total number of ineligible outlets in the row marked "Total."

Column 2(a): Enter the number of eligible sample outlets with noncomplete inspections by reason for noncompletion. Provide the total number of eligible outlets with noncomplete inspections in the row marked "Total."

Inspection Tallies by Reason of Ineligibility or Noncompletion			
		State: _____	
		FFY: 2013	
(1) INELIGIBLE		(2) ELIGIBLE	
Reason for Ineligibility	(a) Counts	Reason for Noncompletion	(a) Counts
Out of business		In operation but closed at time of visit	
Does not sell tobacco products		Unsafe to access	
Inaccessible by youth		Presence of police	
Private club or private residence		Youth inspector knows salesperson	
Temporary closure		Moved to new location	
Unlocatable		Drive-thru only/youth inspector has no driver's license	
Wholesale only/Carton sale only		Tobacco out of stock	
Vending machine broken		Ran out of time	
Duplicate		Other noncompletion reason(s) <i>(Describe.)</i>	
Other ineligibility reason(s) <i>(Describe.)</i>			
Total		Total	

FORM 5 (Required for all States not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data)

Complete Form 5 to show the distribution of outlet inspection results by age and gender of the youth inspectors.

Instructions for Completing Form 5: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2013).

Column 1: Enter the number of attempted buys by youth inspector age and gender.

Column 2: Enter the number of successful buys by youth inspector age and gender.

If the inspectors are age eligible but the gender of the inspector is unknown, include those inspections in the "Other" row. Calculate subtotals for males and females in rows marked "Male Subtotal" and "Female Subtotal." Sum subtotals for Male, Female, and Other and record in the bottom row marked "Total." Verify that that the total of attempted buys and successful buys equals the total for Column 4(c) and Column 5(c), respectively, on Form 1. If the totals do not match, please explain any discrepancies.

Synar Survey Inspector Characteristics		
		State: _____
		FFY: 2013
	(1) Attempted Buys	(2) Successful Buys
Male		
15 years		
16 years		
17 years		
18 years		
Male Subtotal		
Female		
15 years		
16 years		
17 years		
18 years		
Female Subtotal		
Other		
Total		

APPENDIXES B & C: FORMS

Instructions

Appendix B (Sampling Design) and Appendix C (Inspection Protocol) are to reflect the State's CSAP-approved sampling design and inspection protocol. These appendixes, therefore, should generally describe the design and protocol and, with the exception of Question #10 of Appendix B, are not to be modified with year-specific information. Please note that any changes to either appendix must receive CSAP's advance, written approval. To facilitate the State's completion of this section, simply cut and paste the previously approved sampling design (Appendix B) and inspection protocol (Appendix C).

APPENDIX B: SYNAR SURVEY SAMPLING METHODOLOGY

State: Idaho
 FFY: 2013

1. What type of sampling frame is used?

- List frame (Go to Question 2.)
- Area frame (Go to Question 3.)
- List-assisted area frame (Go to Question 2.)

2. List all sources of the list frame. Indicate the type of source from the list below. Provide a brief description of the frame source. Explain how the lists are updated (method), including how new outlets are identified and added to the frame. In addition, explain how often the lists are updated (cycle). (After completing this question, go to Question 4.)

Use the corresponding number to indicate Type of Source in the table below.

- | | |
|---|--|
| 1 – Statewide commercial business list | 4 – Statewide retail license/permit list |
| 2 – Local commercial business list | 5 – Statewide liquor license/permit list |
| 3 – Statewide tobacco license/permit list | 6 – Other |

Name of Frame Source	Type of Source	Description	Updating Method and Cycle
Idaho Tobacco Permit Database (ITPD)	3	Idaho law requires all businesses that sell tobacco to obtain a permit from the Idaho Department of Health and Welfare.	The ITPD is updated throughout the year through renewals by mail, phone and internet, and as new businesses open.

3. If an area frame is used, describe how area sampling units are defined and formed.

- a. Is any area left out in the formation of the area frame? Yes No

If Yes, what percentage of the State's population is not covered by the area frame?
 _____%

4. Federal regulation requires that vending machines be inspected as part of the Synar survey. Are vending machines included in the Synar survey? Yes No

If No, please indicate the reason they are not included in the Synar survey.

- State law bans vending machines.
- State law bans vending machines from locations accessible to youth.

- State has SAMHSA approval to exempt vending machines from the survey.
- Other (Please describe.) _____

5. Which category below best describes the sample design? (Check only one.)

- Census** (STOP HERE: Appendix B is complete.)

Unstratified statewide sample:

- Simple random sample (Go to Question 9.)
- Systematic random sample (Go to Question 6.)
- Single-stage cluster sample (Go to Question 8.)
- Multistage cluster sample (Go to Question 8.)

Stratified sample:

- Simple random sample (Go to Question 7.)
- Systematic random sample (Go to Question 6.)
- Single-stage cluster sample (Go to Question 7.)
- Multistage cluster sample (Go to Question 7.)
- Other** (Please describe and go to Question 9.) _____

6. Describe the systematic sampling methods. (After completing Question 6, go to Question 7 if stratification is used. Otherwise go to Question 9.)

7. Provide the following information about stratification.

- a. Provide a full description of the strata that are created.**

- b. Is clustering used within the stratified sample?**

- Yes** (Go to Question 8.)
- No** (Go to Question 9.)

8. Provide the following information about clustering.

- a. Provide a full description of how clusters are formed. (If multistage clusters are used, give definitions of clusters at each stage.)**

- b. Specify the sampling method (simple random, systematic, or probability proportional to size sampling) for each stage of sampling and describe how the method(s) is (are) implemented.**

9. Provide the formulas for determining the effective, target, and original outlet sample sizes.

The SSES sample size calculator is used to estimate the sample size. The formula for calculating the effective sample size, n_e , is based on page 35 (formula S3.4) of the Sample Design Guidance. It is written as:

$$n_e = \frac{1}{\left(\frac{(0.0182)^2}{P(1-P)} + \frac{1}{N} \right)},$$

where P is the expected violation rate the RVR from the previous years survey and N is the size of the sampling frame.

The target sample size is determined by multiplying the effective sample size by an assumed design effect of one ($n_t = dn_e$).

The original sample size is determined by inflating the target sample size by the expected eligibility rate (r_l) and the expected completion rate (r_c), where r_l is an assumed eligibility and r_c is an assumed completion rate. Eligibility (accuracy) and completion rates from the prior year's survey are used to calculate the original sample size. The original sample size can be written as:

$$n_o = \frac{n_t}{r_l r_c}.$$

Please note that the original sample size is further inflated by a 20% safety margin.

10. Provide the following information about sample size calculations for the current FFY Synar survey.

- a. If the State uses the sample size formulas embedded in the Synar Survey Estimation System (SSES) Sample Size Calculator, please provide the following information:**

Inputs for Effective Sample Size:

RVR: 6.9%

Frame Size: 1329

Input for Target Sample Size:

Design Effect: 1.0

Inputs for Original Sample Size:

Safety Margin: 20%

Accuracy (Eligibility) Rate: 94.8%

Completion Rate: 95%

- b. If the State does not use the sample size formulas embedded in the SSES Sample Size Calculator, please provide all inputs required to calculate the effective, target, and original sample sizes as indicated in Question 9.**

--

APPENDIX C: SYNAR SURVEY INSPECTION PROTOCOL

State: Idaho

FFY: 2013

Note: Upload to WebBGAS a copy of the Synar inspection form under the heading "Synar Inspection Form" and a copy of the protocol used to train inspection teams on conducting and reporting the results of the Synar inspections under the heading "Synar Inspection Protocol."

1. How does the State Synar survey protocol address the following?

a. Consummated buy attempts?

- Required Not permitted
 Permitted under specified circumstances Not specified in protocol

b. Youth inspectors to carry ID?

- Required Not permitted
 Permitted under specified circumstances Not specified in protocol

c. Adult inspectors to enter the outlet?

- Required Not permitted
 Permitted under specified circumstances Not specified in protocol

d. Youth inspectors to be compensated?

- Required Not permitted
 Permitted under specified circumstances Not specified in protocol

2. Identify the agency(ies) or entity(ies) that actually conduct the random, unannounced Synar inspections of tobacco outlets. (Check all that apply.)

- Law enforcement agency(ies)
 State or local government agency(ies) other than law enforcement
 Private contractor(s)
 Other

List the agency name(s): Benchmark Research & Safety, Inc.

3. Are Synar inspections combined with law enforcement efforts (i.e., do law enforcement representatives issue warnings or citations to retailers found in violation of the law at the time of the inspection)?

- Always Usually Sometimes Rarely Never

4. Describe the methods used to recruit, select, and train youth inspectors and adult supervisors.

Inspection teams are recruited via posting at the Idaho Job Service and through personal contact among teen and adult inspectors. Adult inspectors receive computer based training to learn the rules, regulations, basic procedures and paperwork required for the job. They also receive on-the-job training from an experienced inspector before beginning inspections. Adult inspectors train the inspection assistants and teen inspectors. The minors review the video Teens Taking Action! A Guide to Conducting Tobacco Compliance Checks: Consummated Version and also receive on-the-job training and feedback from the adult inspector. All minors are required to have an Idaho driver's license or identification card and are required to respond truthfully when questioned by the clerk.

5. Are there specific legal or procedural requirements instituted by the State to address the issue of youth inspectors' immunity when conducting inspections?

- a. **Legal** **Yes** **No** *(If Yes, please describe.)*

State statute 39-5702 Possession, distribution or use by a minor states "a minor who is assisting with a random unannounced inspection in accordance with this chapter shall not be in violation with this chapter." This specifically states that minors can purchase and possess tobacco during an inspection.

- b. **Procedural** **Yes** **No** *(If Yes, please describe.)*

6. Are there specific legal or procedural requirements instituted by the State to address the issue of the safety of youth inspectors during all aspects of the Synar inspection process?

- a. **Legal** **Yes** **No** *(If Yes, please describe.)*

- b. **Procedural** **Yes** **No** *(If Yes, please describe.)*

The safety of individuals performing inspections is paramount. Each inspection team consists of two adults (tobacco inspector and inspection assistant) and two minors (one male and one female). This means that minors are not left alone in the car or the store. All minors are supervised by an adult. At the first sign of any conflict (usually verbal) during an inspection the minor is sent to the car by the adult tobacco inspector. All of our inspectors have had previous law enforcement experience. Inspectors and minors are encouraged not to enter outlets they perceive as unsafe. The driving records of all inspectors are reviewed and inspection teams are prohibited from exceeding posted speed limits. New vehicles are used the majority of the time. Seatbelt use is required. All teams are provided with cellular telephones and are instructed to contact the inspection director or the State Police when circumstances deteriorate. Incidents that jeopardize the safety of the team are forwarded to the Idaho State Police for followup.

7. Are there any other legal or procedural requirements the State has regarding how

inspections are to be conducted (e.g., age of youth inspector, time of inspections, training that must occur)?

a. **Legal** **Yes** **No** *(If Yes, please describe.)*

State law requires that all permitted tobacco outlets be inspected at least once per year with additional checks made as a function of the prior year's statewide on-compliance rate. State statute 39-5702, Conduct of Enforcement Actions, states minors can participate in random tobacco inspections with written permission of parent/guardian and they cannot present false identification or lie about their age.

b. **Procedural** **Yes** **No** *(If Yes, please describe.)*

Although not specifically stated in the law, the Idaho Department of Health & Welfare requires all minors to use State of Idaho Driver's Licenses or Identification cards when conducting inspections. The department also limits the age of the inspectors to 17.5 years.

APPENDIX D: LIST SAMPLING FRAME COVERAGE STUDY

(LIST FRAME ONLY)

State: Idaho _____
FFY: 2013 _____

1. Calendar year of the coverage study: _____
2. a. Unweighted percent coverage found: _____ %
b. Weighted percent coverage found: _____ %
c. Number of outlets found through canvassing: _____
d. Number of outlets matched on the list frame: _____
3. a. Describe how areas were defined. (e.g., census tracts, counties, etc.)

- b. Were any areas of the State excluded from sampling? Yes No

If Yes, please explain.

4. Please answer the following questions about the selection of canvassing areas.
 - a. Which category below best describes the sample design? (Check only one.)

Census (Go to Question 6.)

Unstratified Statewide sample:

- Simple random sample (Respond to Part b.)
 Systematic random sample (Respond to Part b.)
 Single-stage cluster sample (Respond to Parts b and d.)
 Multistage cluster sample (Respond to Parts b and d.)

Stratified sample:

- Simple random sample (Respond to Parts b and c.)
 Systematic random sample (Respond to Parts b and c.)
 Single-stage cluster sample (Respond to Parts b, c, and d.)
 Multistage cluster sample (Respond to Parts b, c, and d.)
 Other (Please describe and respond to Part b.) _____

- b. Describe the sampling methods.

c. Provide a full description of the strata that were created.

d. Provide a full description of how clusters were formed.

5. Were borders of the selected areas clearly identified at the time of canvassing?

Yes No

6. Were all sampled areas visited by canvassing teams?

Yes (Go to Question 7.) No (Respond to Parts a and b.)

a. Was the subset of areas randomly chosen?

Yes No

b. Describe how the subsample of visited areas was drawn. Include the number of areas sampled and the number of areas canvassed.

7. Were field observers provided with a detailed map of the canvassing areas?

Yes No

If No, describe the canvassing instructions given to the field observers.

8. Were field observers instructed to find all outlets in the assigned area?

Yes No

If No, respond to Question 9.

If Yes, describe any instructions given to the field observers to ensure the entire area was canvassed, then go to Question 10.

9. If a full canvassing was not conducted:

a. How many predetermined outlets were to be observed in each area? _____

b. What were the starting points for each area? _____

c. Were these starting points randomly chosen? Yes No

d. Describe the selection of the starting points.

e. Please describe the canvassing instructions given to the field observers, including predetermined routes.

10. Describe the process field observers used to determine if an outlet sold tobacco.

11. Please provide the State's definition of "matches" or "mismatches" to the Synar sampling frame? (i.e., address, business name, business license number, etc).

12. Provide the calculation of the weighted percent coverage (if applicable).



C.L. "BUTCH" OTTER
GOVERNOR

August 31, 2007

Ms. LouEllen M. Rice
Grants Management Officer
Substance Abuse and Mental Health Services Administration
Office of Program Services
Division of Grant Management
1 Choke Cherry Road, Room 7-1091
Rockville, Maryland 20857

Dear Ms. Rice:

I hereby delegate to the Director of the Idaho Department of Health and Welfare, Richard M. Armstrong, to make application to the United States Department of Health and Human Services for the Substance Abuse Prevention and Treatment (SAPT) Block Grant for the State of Idaho.

This delegation of authority is effective immediately. It extends to any changes or additions to the SAPT Block Grant required by Congress or the Executive Branch, including assurances, certifications, the grant or application requirements.

As Always - Idaho, "Esto Perpetua"

A handwritten signature in black ink that reads "C.L. Butch Otter".

C.L. "Butch" Otter
Governor of Idaho

CLO:ss

cc: Richard Armstrong
Richard Humiston

SSSES Table 1 (Synar Survey Estimates and Sample Sizes)

CSAP-SYNAR REPORT

State	ID
Federal Fiscal Year (FFY)	2013
Date	11/11/2012 5:54
Data	SynarReports(1).xls
Analysis Option	Stratified SRS with FPC

Estimates

Unweighted Retailer Violation Rate	7.5%
Weighted Retailer Violation Rate	7.5%
Standard Error	1.7%
Is SAMHSA Precision Requirement met?	YES
Right-sided 95% Confidence Interval	[0.0%, 10.3%]
Two-sided 95% Confidence Interval	[4.1%, 10.8%]
Design Effect	1.0
Accuracy Rate (unweighted)	94.3%
Accuracy Rate (weighted)	94.3%
Completion Rate (unweighted)	93.9%

Sample Size for Current Year

Effective Sample Size	170
Target (Minimum) Sample Size	170
Original Sample Size	227
Eligible Sample Size	214
Final Sample Size	201
Overall Sampling Rate	16.1%

SSES Table 2 (Synar Survey Results by Stratum and by OTC/VM)

STATE: ID

FFY: 2013

Samp. Stratum	Var. Stratum	Outlet Frame Size	Estimated Outlet Population Size	Number of PSU Clusters Created	Number of PSU Clusters in Sample	Outlet Sample Size	Number of Eligible Outlets in Sample	Number of Sample Outlets Inspected	Number of Sample Outlets in Violation	Retailer Violation Rate(%)	Standard Error(%)
All Outlets											
1	1	1,329	1,253	N/A	N/A	227	214	201	15	7.5%	
Total		1,329	1,253			227	214	201	15	7.5%	1.7%
Over the Counter Outlets											
1	1	0	1,253	N/A	N/A	227	214	201	15	7.5%	
Total		0	1,253			227	214	201	15	7.5%	1.7%
Vending Machines											
1	1	1,329	0	N/A	N/A	0	0	0	0	0.0%	
Total		1,329	0			0	0	0	0	0.0%	0.0%

SSES Table 3 (Synar Survey Sample Tally Summary)

STATE: ID
FFY: 2013

Disposition Code	Description	Count	Subtotal
EC	Eligible and inspection complete outlet	201	
Total (Eligible Completes)			201
N1	In operation but closed at time of visit	9	
N2	Unsafe to access	1	
N3	Presence of police	0	
N4	Youth inspector knows salesperson	0	
N5	Moved to new location but not inspected	1	
N6	Drive thru only/youth inspector has no drivers license	0	
N7	Tobacco out of stock	1	
N8	Run out of time	0	
N9	Other noncompletion (see below)	1	
Total (Eligible Noncompletes)			13
I1	Out of Business	2	
I2	Does not sell tobacco products	8	
I3	Inaccessible by youth	0	
I4	Private club or private residence	0	
I5	Temporary closure	3	
I6	Can't be located	0	
I7	Wholesale only/Carton sale only	0	
I8	Vending machine broken	0	
I9	Duplicate	0	
I10	Other ineligibility	0	
Total (Ineligibles)			13
Grand Total			227

Give reasons and counts for other noncompletion:

Reason	Count
Vendor only works during the week of the county fair	1

SSES Table 4 (Synar Survey Inspection Results by Youth Inspector Characteristics)

STATE: ID

FFY: 2013

Frequency Distribution

Gender	Age	Number of Inspectors	Attempted Buys	Successful Buys
Male	14	0	0	0
	15	2	21	2
	16	3	47	3
	17	3	28	5
	18	0	0	0
	Subtotal		8	96
Female	14	0	0	0
	15	3	31	1
	16	1	19	2
	17	3	55	2
	18	0	0	0
	Subtotal		7	105
Other		0	0	0
Grand Total		15	201	15

Buy Rate in Percent by Age and Gender

Age	Male	Female	Total
14	0.0%	0.0%	0.0%
15	9.5%	3.2%	5.8%
16	6.4%	10.5%	7.6%
17	17.9%	3.6%	8.4%
18	0.0%	0.0%	0.0%
Other			0.0%
Total	10.4%	4.8%	7.5%

Outlet ID Sampling S Total Outlet Variance St Total Outlet Disposition Violation F Outlet Type Youth Insp Youth Insp Youth Insp Youth Insp Youth Insp Frame Size

25	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
58	1	1329	1	1329	EC	0	OTC	512215	F	15	1329
67	1	1329	1	1329	EC	0	OTC	511201	M	17	1329
68	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
84	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
92	1	1329	1	1329	N1	0	OTC				1329
146	1	1329	1	1329	EC	1	OTC	511210	M	16	1329
180	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
198	1	1329	1	1329	EC	0	OTC	512205	F	17	1329
246	1	1329	1	1329	N1	0	OTC				1329
254	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
273	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
288	1	1329	1	1329	EC	1	OTC	511210	M	16	1329
314	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
379	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
384	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
389	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
400	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
409	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
412	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
449	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
450	1	1329	1	1329	EC	0	OTC	512216	F	15	1329
457	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
528	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
588	1	1329	1	1329	EC	0	OTC	511201	M	17	1329
656	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
662	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
671	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
690	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
714	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
715	1	1329	1	1329	EC	1	OTC	512215	F	15	1329
722	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
739	1	1329	1	1329	EC	0	OTC	512216	F	15	1329

769	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
772	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
794	1	1329	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
861	1	1329	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
1074	1	1329	1	1329	1	1329	I5	0	OTC				1329
1099	1	1329	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
1142	1	1329	1	1329	1	1329	N1	0	OTC				1329
1151	1	1329	1	1329	1	1329	EC	0	OTC	512215	F	15	1329
1153	1	1329	1	1329	1	1329	I2	0	OTC				1329
1164	1	1329	1	1329	1	1329	N1	0	OTC				1329
1208	1	1329	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
1248	1	1329	1	1329	1	1329	EC	0	OTC	512216	F	15	1329
1261	1	1329	1	1329	1	1329	EC	1	OTC	511209	M	17	1329
1265	1	1329	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
1286	1	1329	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
1291	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
1293	1	1329	1	1329	1	1329	EC	1	OTC	511211	M	15	1329
1296	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
1299	1	1329	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
1302	1	1329	1	1329	1	1329	EC	0	OTC	512205	F	17	1329
1332	1	1329	1	1329	1	1329	N1	0	OTC				1329
1336	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
1342	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
1350	1	1329	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
1361	1	1329	1	1329	1	1329	EC	0	OTC	512205	F	17	1329
1377	1	1329	1	1329	1	1329	EC	0	OTC	511201	M	17	1329
1378	1	1329	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
1381	1	1329	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
1392	1	1329	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
1407	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
1488	1	1329	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
1613	1	1329	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
1630	1	1329	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
1645	1	1329	1	1329	1	1329	EC	0	OTC	511209	M	17	1329

1669	1	1329	1	1329	1329	EC	0	OTC	512214	F	17	1329
1808	1	1329	1	1329	N1	0	0	OTC				1329
1812	1	1329	1	1329	EC	1	1	OTC	511210	M	16	1329
1824	1	1329	1	1329	EC	0	0	OTC	512212	F	16	1329
1853	1	1329	1	1329	EC	0	0	OTC	512214	F	17	1329
1991	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2011	1	1329	1	1329	EC	0	0	OTC	511209	M	17	1329
2012	1	1329	1	1329	EC	0	0	OTC	512215	F	15	1329
2020	1	1329	1	1329	EC	0	0	OTC	511211	M	15	1329
2128	1	1329	1	1329	EC	0	0	OTC	511211	M	15	1329
2215	1	1329	1	1329	EC	0	0	OTC	511206	M	16	1329
2308	1	1329	1	1329	EC	0	0	OTC	511211	M	15	1329
2310	1	1329	1	1329	EC	0	0	OTC	511211	M	15	1329
2314	1	1329	1	1329	EC	0	0	OTC	512216	F	15	1329
2323	1	1329	1	1329	EC	0	0	OTC	512217	F	15	1329
2326	1	1329	1	1329	EC	0	0	OTC	512214	F	17	1329
2345	1	1329	1	1329	EC	0	0	OTC	511210	M	16	1329
2349	1	1329	1	1329	EC	0	0	OTC	511210	M	16	1329
2357	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2373	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2412	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2437	1	1329	1	1329	EC	0	0	OTC	512216	F	15	1329
2452	1	1329	1	1329	EC	1	1	OTC	512212	F	16	1329
2458	1	1329	1	1329	EC	0	0	OTC	512212	F	16	1329
2470	1	1329	1	1329	EC	0	0	OTC	511201	M	17	1329
2481	1	1329	1	1329	EC	0	0	OTC	511210	M	16	1329
2494	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2510	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2527	1	1329	1	1329	EC	0	0	OTC	511206	M	16	1329
2533	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2538	1	1329	1	1329	EC	0	0	OTC	511201	M	17	1329
2546	1	1329	1	1329	EC	0	0	OTC	512210	F	17	1329
2616	1	1329	1	1329	EC	0	0	OTC	511212	M	16	1329
2667	1	1329	1	1329	EC	0	0	OTC	511210	M	16	1329

2676	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
2688	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
2726	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
2767	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
2796	1	1329	1	1329	N1	0	OTC				1329
2813	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
2839	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
2855	1	1329	1	1329	EC	0	OTC	512215	F	15	1329
2911	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
2947	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
2949	1	1329	1	1329	EC	1	OTC	511205	M	17	1329
2988	1	1329	1	1329	I2	0	OTC				1329
3043	1	1329	1	1329	EC	0	OTC	511201	M	17	1329
3049	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
3109	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3180	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
3209	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
3238	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3249	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
3269	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
3272	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3289	1	1329	1	1329	EC	0	OTC	512215	F	15	1329
3305	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3310	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
3311	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
3341	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3345	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
3347	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
3367	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
3382	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
3383	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
3385	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
3415	1	1329	1	1329	EC	0	OTC	511209	M	17	1329
3423	1	1329	1	1329	EC	0	OTC	511210	M	16	1329

3437	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3482	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
3518	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
3576	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
3588	1	1329	1	1329	EC	1	OTC	511205	M	17	1329
3607	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
3687	1	1329	1	1329	I1	0	OTC				1329
3698	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
3711	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3712	1	1329	1	1329	EC	0	OTC	511209	M	17	1329
3718	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3781	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
3802	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
3842	1	1329	1	1329	I2	0	OTC				1329
3868	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3896	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
3906	1	1329	1	1329	EC	1	OTC	511201	M	17	1329
3917	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
3928	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
3936	1	1329	1	1329	EC	0	OTC	512216	F	15	1329
3945	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
3952	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
3953	1	1329	1	1329	I1	0	OTC				1329
3976	1	1329	1	1329	EC	0	OTC	511201	M	17	1329
4033	1	1329	1	1329	EC	0	OTC	511201	M	17	1329
4046	1	1329	1	1329	I2	0	OTC				1329
4063	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
4065	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
4097	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
4098	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
4111	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
4121	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
4131	1	1329	1	1329	EC	1	OTC	512214	F	17	1329
4137	1	1329	1	1329	EC	1	OTC	511209	M	17	1329

4153	1	1329	1	1329	EC	0	OTC	512215	F	15	1329
4162	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
4190	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
4204	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
4216	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4231	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
4232	1	1329	1	1329	EC	0	OTC	512215	F	15	1329
4240	1	1329	1	1329	I5	0	OTC				1329
4266	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4289	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
4295	1	1329	1	1329	I2	0	OTC				1329
4306	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
4318	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
4326	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
4331	1	1329	1	1329	EC	0	OTC	511205	M	17	1329
4335	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
4365	1	1329	1	1329	EC	0	OTC	512217	F	15	1329
4366	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4381	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
4393	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4402	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
4403	1	1329	1	1329	EC	1	OTC	512214	F	17	1329
4412	1	1329	1	1329	EC	0	OTC	511209	M	17	1329
4431	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
4436	1	1329	1	1329	N1	0	OTC				1329
4513	1	1329	1	1329	EC	1	OTC	511213	M	15	1329
4601	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4617	1	1329	1	1329	N9	0	OTC				1329
4618	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4621	1	1329	1	1329	I5	0	OTC				1329
4630	1	1329	1	1329	I2	0	OTC				1329
4636	1	1329	1	1329	EC	0	OTC	512215	F	15	1329
4647	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
4659	1	1329	1	1329	EC	0	OTC	512215	F	15	1329

4664	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
4665	1	1329	1	1329	EC	0	OTC	512216	F	15	1329
4688	1	1329	1	1329	EC	0	OTC	512216	F	15	1329
4698	1	1329	1	1329	EC	0	OTC	512214	F	17	1329
4715	1	1329	1	1329	EC	0	OTC	511206	M	16	1329
4746	1	1329	1	1329	EC	0	OTC	512216	F	15	1329
4761	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4762	1	1329	1	1329	N5	0	OTC				1329
4765	1	1329	1	1329	N1	0	OTC				1329
4784	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
4785	1	1329	1	1329	I2	0	OTC				1329
4797	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
4809	1	1329	1	1329	N7	0	OTC				1329
4812	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
4825	1	1329	1	1329	EC	1	OTC	512212	F	16	1329
4836	1	1329	1	1329	EC	0	OTC	512210	F	17	1329
4850	1	1329	1	1329	EC	0	OTC	511211	M	15	1329
4854	1	1329	1	1329	EC	0	OTC	511201	M	17	1329
4858	1	1329	1	1329	I2	0	OTC				1329
4880	1	1329	1	1329	EC	0	OTC	511210	M	16	1329
4901	1	1329	1	1329	N2	0	OTC				1329
4902	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
4905	1	1329	1	1329	EC	0	OTC	512212	F	16	1329
4939	1	1329	1	1329	EC	0	OTC	512216	F	15	1329

Idaho Tobacco Compliance

Business to be inspected

Permit Holder Mailing Address

1. Date of Check (mm/dd/yy) Time (Military) Minor ID Number Inspector ID Number

/ /

2. Was a purchase attempt made?

Yes

No

1. Clerk gender:
 Female
 Male

2. Was minor's ID requested?
 Yes
 No

3. Was minor asked age?
 Yes
 No

4. Did the clerk sell?
 Yes
 No

5. Did you observe the attempt?
 Yes
 No

6. Attempted product:
 Cigarettes
 Cigars
 Smokeless

7. Brand of product attempted:
 Marlboro
 Other (please specify) _____

8. Tobacco placement:
 Behind counter
 At check stand
 In an aisle
 Display case
 Other (please specify) _____

9. Other violations (issue citations for all marked. Definitions on back of form)
 Self-service
 Non vendor assisted
 Open packages, samples or free tobacco products
 Vending machine

Why was purchase attempt not made?

Does not sell tobacco
 Unsatisfactory conditions (describe in Comments)
 Out of business
 Missed store hours (List open hours in Comments)
 Denied access due to:
 Age restriction (e.g., Bar, lounge, liquor store)
 Membership required (e.g., Social club)
 Could not locate address
 Does not exist at specified address
 Tobacco out of stock
 Duplicate business
 Temporarily closed
 Youth identified or known
 Law enforcement present or detected
 Other (please specify) _____

 Minor's initials (required)

 Inspector's Signature (required)

3. Comments

Idaho Tobacco Compliance

Instructions

FORMS WITH INCOMPLETE INFORMATION WILL NOT BE PROCESSED.

USE ONLY BLACK BALLPOINT PEN. Forms using felt tip markers, roller ball pens, or pencils cannot be processed. THIS FORM IS A LEGAL DOCUMENT. All results must be entered by the inspector immediately after the check is completed. To correct errors, draw a SINGLE LINE through the error, enter the correct information, and initial and date the change. DO NOT use whiteout or erase any information.

For optimum accuracy, use capital letters and avoid contact with the edge of the box.

Shade circles like this:  Not like this:  If you make an error filling in a circle, cross it out with a single line, write "error" next to it, and initial, then fill in the correct circle.

1. **Date of Check:** Enter the date the inspection was attempted or performed. Provide the month (mm) / day (dd) / and 2 digit year (yy).
2. **Was a purchase attempt made?:** Different types of information are needed depending on the outcome of the inspection attempt.

Yes

Clerk gender: Was the clerk male or female?
Was minor's ID requested?: Did the clerk ask for the ID?
Was the minor asked age?: Did the clerk ask the minor's age?
Did the clerk sell?: Was the sale completed?
Did you observe attempt?: Did you witness the sale to the minor?
Attempted product: What type of tobacco product did you attempt to purchase?
Brand of product attempted: What brand of product did the minor attempt to purchase or successfully purchase?
Tobacco placement: Mark the descriptor that best describes where tobacco was located within the business.
Tobacco was: (mark only those that apply)
Self-service: The minor was able to pick up the tobacco without asking a store employee for assistance.
Non-vendor assisted sales: The customer is given the tobacco product at any time before payment, e.g. The customer receives the tobacco product from one clerk and takes it to another clerk for purchase.
Open packages, samples or free tobacco products: Individual cigarettes/cigars are on display AND are not in original package. Tobacco products are available for free, EXCEPT when packaged together with purchased tobacco, e.g. Buy two get one free (all packaged together).
Vending Machine: A vending machine, stocked with tobacco AND able to accept money, is anywhere on the premises.

No

Does not sell tobacco: The business does not sell tobacco products.
Unsatisfactory conditions: The business could not be inspected because it was unsafe or, the minor or inspector would be recognized by the clerk.
Out of business: The business was located but has permanently closed.
Missed store hours: The establishment is open for business but was closed. *Please indicate operating hours in the comments section.*
Denied access: Select the option that best describes why access was denied.
Could not locate address: The specified address could not be located.
Does not exist at specified address: The business does not exist at the listed address.
Tobacco out of stock: The business sells tobacco but is currently out of stock.
Duplicate business: This inspection form is a duplicate.
Temporarily closed: This business is closed during expected operating hours and seasons and is not out of business.
Youth identified/known: Teen buyer was recognized or is known by the clerk or other employees.
Law enforcement present or detected: A member of law enforcement is present in the business at the time of the inspection. Presence or detection of this individual prevents the purchase attempt.
Other: List all other conditions that prevented inspection.

Minor Initials and Officer Signature: The minor who conducted the check must enter his or her initials and the inspector must sign it certifying that the information contained in this form is true and correct to the best of your knowledge.