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## **Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID) Overview**

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An ICF/IID is a facility that serves 4 or more individuals whose primary purpose is the provision of health or rehabilitative services to individuals with Intellectual Disabilities, or related conditions, receiving care and services under the Medicaid program.

An ICF/IID is expected to provide food, shelter, treatment, or services to four or more individuals, who are unrelated to the proprietor. ICFs/IID may vary in size from very large multi-unit, multi-level facilities with sophisticated programs to very small, home-like settings with required services provided through an arrangement with community organizations.

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## **ICF/IID Federal and State Requirements**

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In Idaho, ICFs/IID are governed by both federal certification regulations and state licensure rules. Applicable federal certification requirements are found in the State Operations Manual, [Chapter 2](#), Section 2130B, Appendix J, Appendix Z and Appendix Q. Applicable state licensure rules are found at IDAPA 16.03.11. Below is a brief overview of the regulations and rules. Please see Appendix J and Q, as well as, IDAPA 16.03.11 for additional information.

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## **Appendix J – Guidance to Surveyors: Intermediate Care Facilities for Individuals with Intellectual Disabilities**

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[Appendix J](#) includes federal statutory designation and W-tag number, the regulatory language, and interpretive guidance. Survey probes and procedures are found in [Exhibit 355](#): Probes and Procedures for Appendix J, Part II-Interpretive Guidelines- Responsibilities of Intermediate Care Facilities for Individuals with Intellectual Disabilities.

The regulations found in Appendix J are divided into Conditions of Participation (CoPs or Conditions) and standard level requirements. CoPs represent the overall services and supports an ICF/ID must provide. Under each CoP are the standard level regulations associated with that CoP.

### **Conditions of Participation (CoPs)**

Exhibit 355 of Appendix J, contain compliance principles that identify those outcomes that must be present in order for the Condition of Participation to be found “met,” and those outcomes that indicate the Condition is “not met.” The purpose of the compliance principles is to assist in consistent decision-making about facility compliance at the CoP level.

### **Standard Level Requirements (Standards)**

Under each CoP are standard level requirements. Appendix J and Exhibit 355 of Appendix J, includes facility practice statements, guidelines, and probes. The purpose of facility practice statements, guidelines, and probes is to clarify the information that is relevant to specific requirements, and to increase the survey focus on outcomes for individuals.

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## **Appendix Z – Emergency Preparedness (EP) Requirements**

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[Appendix Z](#) includes the federal statutory designation, the regulatory language, and interpretive guidance for Emergency Preparedness (EP), which is applicable to all provider and supplier types, including ICFs/IID. These regulations are divided into a Condition for Participation (CoP or Condition) and standard level requirements.

The CoP represents the overall EP requirement that an ICF/IID must comply with. Under the CoP are the standard level regulations associated with the CoP. The purpose of the interpretive guidance is to clarify the information that is relevant to a requirement, and to assist in consistent decision-making regarding facility compliance.

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## **Appendix Q – Core Guidelines for Determining Immediate Jeopardy**

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[Appendix Q](#) provides guidance specific to situations of Immediate Jeopardy. Immediate Jeopardy means a situation in which the supplier's noncompliance with one or more requirements or conditions for coverage, has caused, or is likely to cause, serious injury, harm, impairment, or death to an individual.

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## **IDAPA 16.03.11 – Rules Governing Intermediate Care Facilities for People with Intellectual Disabilities**

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[State licensure](#) rules are similar in nature to the Federal certification regulations. However, state rules do not have different levels, such as Conditions or Standards, as found in the federal requirements.

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### **Additional Information**

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For additional information regarding the ICF/IID program, including a glossary of terms, please visit the CMS web site at <http://www.cms.gov/>