Exceptions vs. Risk Mitigation Flow Chart

Does the participant want to access a HCBS right?

→ **Yes**

↓ (from participant box) **No**

Provider documents that the choice has been offered, and refused, in the SP.

(from Yes) ↓ **Does the provider want to limit this right?**

↓ **Yes**

Is there a health and/or safety risk to the participant or others due to a HCBS setting quality?

→ **Yes**

Can the provider meet the setting requirement, with accommodations if necessary?

→ **Yes**

(from Can the provider...) ↑ **No** → **Provider must file an Exception for that specific participant.**

(from Is there a health...) ↓ **No**

No Exception or Risk Mitigation strategy needed.

(from Yes) ↓ **Provider must identify, and implement, a Risk Mitigation strategy for that participant. This must be listed on the SP.**

↓ **Has the Risk Mitigation strategy failed?**

→ **Yes**

↓ **Provider may file an Exception Request.**